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The island status of clausal complements: Evidence in favor of an information structure explanation

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12 *Abstract*

13
14 *The present paper provides evidence that suggests that speakers determine*
15 *which constructions can be combined, at least in part, on the basis of the*
16 *compatibility of the information structure properties of the constructions in-*
17 *volved. The relative “island” status of the following sentence complement*
18 *constructions are investigated: “bridge” verb complements, manner-of-*
19 *speaking verb complements and factive verb complements. Questionnaire*
20 *data is reported that demonstrates a strong correlation between acceptabil-*
21 *ity judgments and a negation test used to operationalize the notion of*
22 *“backgroundedness”. Semantic similarity of the main verbs involved to*
23 *think or say (the two verbs that are found most frequently in long-distance*
24 *extraction from complement clauses) did not account for any variance; this*
25 *finding undermines an account which might predict acceptability by analogy*
26 *to a fixed formula involving think or say. While the standard subadjacency*
27 *account also does not predict the results, the findings strongly support the*
28 *idea that constructions act as islands to wh-extraction to the degree that*
29 *they are backgrounded in discourse.*

30
31 *Keywords:* island constraints; constructions; sentence complements; man-
32 *ner of speaking verbs; factive verbs; bridge verbs.*

33
34 **1. Introduction**

35 Imagine the President was given an incriminating top secret FBI file
36 about a person who worked closely with him. Watching him storm out
37

38
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1 of the room, the people gathered may well wonder who the report was
2 about. And yet they could not formulate the question as follows:

3
4 (1) *Who did he just read the report that was about _?

5
6 As this example illustrates, even when questions appear to be semanti-
7 cally appropriate, there are constraints on what can count as a question.
8 Where do such constraints come from? The question has been at the heart
9 of linguistic theorizing for decades. Many researchers assume that the an-
10 swer must lie in a system of innate linguistic knowledge that is built on
11 purely formal principles that are specific to language, since it is not diffi-
12 cult to come up with contexts in which ill-formed questions would seem
13 to be semantically appropriate as in the example just given (e.g., Chom-
14 sky 1973; Ross 1967; Pinker and Bloom 1990).

15 In this paper we compare the viability of the following proposals: a) a
16 formal “subjacency” account, b) an account that predicts acceptability to
17 be determined by semantic comparison to a high-frequency formula, and
18 c) the hypothesis that discourse properties of the constructions involved
19 determine the relative acceptability of long-distance dependencies.

20
21 1.1. *Filler-Gap constructions*

22 WH-questions typically involve a constituent that appears in a position
23 other than its canonical position. We refer to the displaced constituent as
24 the *filler* (indicated by italics), and the place where the constituent would
25 appear in a simple sentence, the *gap* (“_”). In this way, we can avoid the
26 common terminology that the filler is “extracted” from the site of the gap
27 and “moved” to the front of the sentence, since we do not assume that
28 there is any actual movement (see e.g., Ambridge et al. 2006; Sag and
29 Fodor 1994; Van Valin 1993; Ambridge et al. 2007; for non-movement
30 accounts of simple and complex question formation). An example of a
31 question filler-gap construction is given in (2):

32
33 (2) *Who* did she think he saw _?

34
35 Relative clauses and topicalizations are other types of filler-gap con-
36 structions as in (3) and (4):

37
38 (3) I met the man *who* I think you saw _ (relative clause)

39 (4) *Whitefish and bagels*, she served _ (topicalization)

40
41 Ross (1967) first observed constraints on filler-gap relations. Certain
42 syntactic constructions are “islands” to such relations: in particular, they

1 may not contain the gap.¹ Syntactic islands include complex noun
 2 phrases, subjects, adjuncts, complements of manner-of-speaking verbs
 3 and complements of factive verbs as illustrated below.

4

5 Table 1. *Classic examples of “Island” constraints*

6	*Who did she see the report that was about _?	Complex NPs
7	(cf. She saw the report that was about x)	(both noun complements and relative
8		clauses)
9	*Who did that she knew bother him_?	Subjects
10	(cf. That she knew x bothered him)	
11	??What did she leave the movie because they	Presupposed adjuncts
12	were eating _?	
13	(cf. She left the movie because they were eating x)	
14	??What did she whisper that he saw_?	Complements of manner-of-speaking
15	(cf. She whispered that he saw x)	verbs
16	??What did she realize that he saw_?	Complements of factive verbs
17	(cf. She realized that he saw x)	
18		

19

20 Judgments in the case of complex NPs and subject islands are more
 21 robust, and less dependent on context, than in any of the latter three in-
 22 stances. Exploring these subtle differences in judgments requires us to
 23 look in a more detailed way at the discourse functions of each of the con-
 24 structions involved. We return to this issue of graded judgments below.

25

26 1.2. *Subjacency*

27

28 How should constraints on filler-gap constructions be accounted for?
 29 Since Chomsky (1973), the dominant view has been that constraints on
 30 filler-gap constructions arise from a “subjacency” constraint: namely
 31 that the gap cannot be separated from the filler by two or more “bound-
 32 ing nodes,” where S and NP are defined to be bounding nodes.² Subja-
 33 cency is a parade example of a constraint that has been claimed to be for-
 34 mal and specific to language: part of “universal grammar” (Newmeyer

35

36

37 1. The “island” metaphor was based on the idea that the filler moved from the gap posi-
 38 tion to the front of the sentence. Islands refer to constituents from which a filler cannot
 39 move.

40 2. NP and S are considered bounding nodes in English. NP and S' appear to be bounding
 41 nodes in Italian (Rizzi 1982) and S, S' and NP appear to be bounding nodes in Russian
 42 (Freidin and Quicoli 1989). That is, Italian speakers can apparently extract out of WH-
 complements, while Russian speakers can only extract out of main clauses.

1 1991). The subjacency account predicts that complex NPs, subjects and
2 all adjuncts should be islands.

3 At the same time, the subjacency account predicts that gaps within
4 clausal complements should be acceptable since only one bounding node
5 (S) intervenes between the filler (*who*) and the gap ($_$). This prediction in
6 fact holds when the main verb is a semantically light (“bridge”) verb of
7 saying or thinking (including *think*, *say*, *believe*) (cf. 5):

8 (5) Who did she think that he saw $_$?
9

10 However, while gaps within the complement clauses of bridge verbs
11 are, as predicted, acceptable, the subjacency account does not explain
12 why gaps within the complements of manner of speaking verbs or factive
13 verbs should be less than fully acceptable, since the syntactic structures
14 appear to be the same (Erteschik-Shir and Lappin 1979; Ross 1967).

15 (6) ??Who did she mumble that he saw $_$?
16 Manner of speaking verb complement

17 (7) ??Who did she realize that he saw $_$?
18 Factive verb complement
19

20 The natural solution for a syntactic account is to argue that the syntac-
21 tic structures are not actually the same. In fact it has been suggested that
22 the complements of manner of speaking verbs are adjuncts, not argu-
23 ments (Baltin 1982). This idea is supported by the fact that the clausal
24 complement is optional:

25 (8) She shouted that he left.

26 (9) She shouted.
27

28 Since adjuncts are predicted to be islands on the subjacency account,
29 this move predicts that clausal complements of manner of speaking verbs
30 should be islands. However, clausal complement clauses are restricted to
31 appear with a fairly narrow set of verbs including verbs of saying and
32 thinking; this restrictiveness is a hallmark of arguments, not adjuncts.
33 Moreover, (9) does not convey the same general meaning as (8) insofar
34 as only (8) implies that propositional content was conveyed; the change
35 of basic meaning when omitted is another hallmark of arguments. In ad-
36 dition, direct object arguments can replace clausal complements (e.g., 10),
37 and yet it would be highly unusual to treat a direct object as an adjunct:

38 (10) She shouted (the remark).
39

40 Finally, the possibility of treating the complement clause as an adjunct
41 clearly does not extend to factive verbs, since their clausal complements
42 are not generally optional (cf. 11–12).

1 (11) She realized that he left.

2 (12) ??She realized.

3
4 Kiparsky and Kiparsky (1971) suggest a different solution to account
5 for the island status of clausal complements of factive verbs. They suggest
6 that factive clausal complements contain a silent *the fact* rendering the
7 clausal complements part of a complex NP (as in 13).

8
9 (13) She realized *the fact* that he left.

10
11 This analysis predicts that the complement clauses of factive verbs
12 should be as strong islands as overt NP complements, since expressions
13 such as (14) and (15) would be structurally identical:

14 (14) *Who did she realize the fact that he saw?

15 (15) ??Who did she realize that he saw?

16
17 Intuitively, however, (14) is less acceptable than (15). Moreover, posit-
18 ing a silent *the fact* phrase to account for the ill-formedness of examples
19 like (15) is ad hoc unless a principled reason can be provided for *not* posit-
20 ing a silent NP (e.g., *the idea*) in the case of bridge verbs which readily
21 allow extraction.

22
23 (16) *Who did she believe the idea that he saw?

24 (17) Who did she believe he saw?

25
26 To summarize, if, in fact, the syntax is the same and only the lexical
27 semantics differs, subjacency does not predict variation in judgments
28 across different verb classes. The complement clauses must be reanalyzed
29 as either adjuncts or parts of complex NPs (to our knowledge, it has not
30 been proposed that they could be subjects, but that would be the other
31 option), but each of these possibilities raises issues that would need to be
32 addressed for the proposed alternative analyses to be convincing.

33
34 1.3. *A possible direct-analogy account*

35
36 Other researchers have emphasized that long-distance filler-gap construc-
37 tions are exceedingly rare in spoken corpora. Dabrowska (2004) and Ver-
38 hagen (2006) both observe that the only long-distance filler-gap expres-
39 sion to occur with any regularity at all are specific formulas with the
40 verb *think* or *say* (*WH do you think/say S*)?

41 Dabrowska notes that of a total of 49 long-distance filler-gap construc-
42 tions produced by five children in CHILDES corpora, all but two were

1 instances of these formulas. Dabrowska notes further that 96 percent of
2 adult's long-distance filler-gap constructions in the Manchester corpus
3 also involve the main verb *think* or *say* (2004: 197).

4 Verhagen (2006) likewise notes that in both English and Dutch cor-
5 pora, questions out of main verb complements are almost uniformly in-
6 stances of the formula, *WH do you think S?* or, in the case of Dutch,
7 *WH denk-pron_{2nd} dat?*. In a search of the English Brown corpus of written
8 texts, Verhagen finds that 10 out of 11 examples of long-distance filler-
9 gap constructions involved the verb *think*; in a search of a Dutch newspa-
10 per 34 out of 43 long-distance filler-gap constructions likewise involved
11 the verb *denken* ('think').

12 Dabrowska (2004: this issue) reports sentence judgment studies in
13 which she compared judgments on instances of the *WH do you think/say*
14 *S?* formula with variations of the formula. Her study (this issue) demon-
15 strates that questions of the form *WH do you think S?* are judged to be
16 more grammatical than questions that instead involve the auxiliaries
17 (*will* or *would*) or a different verb (*suspect*, *claim*, *swear*, *believe*) or that
18 include an overt complementizer *that*.³ See also Poulsen (2006) for similar
19 findings for the verb *denken* ('think') in Dutch. One might quibble with
20 certain aspects of Dabrowska's study; for example, half of the questions
21 used as stimuli involved the verbs *think* or *say*, and it is possible that the
22 repetition led subjects to give those instances higher ratings due to a gen-
23 eral fluency effect (see e.g., Jacoby et al. 1989). In addition, we know
24 that strings that contain more frequent words tend to be judged as
25 more acceptable, all other things being equal (Ambridge et al. *forthc.* b;
26 Featherston 2005; Keller 2000; Kempen and Harbusch 2003, 2004;
27 Schuetze 1996); yet the high frequency *do* was compared with the low fre-
28 quency *would*, and the high frequency *think* was compared with lower fre-
29 quency verbs. Nonetheless, simply given the high frequency of *WH do*
30 *you think S?* and *WH do you say S?* it seems reasonable to accept that
31 these templates may be stored, as Dabrowska, Verhagen and Poulsen
32 suggest.

33 Both Dabrowska (this issue) and Verhagen (2006) go farther, however,
34 and argue that other instances of long distance dependency questions are
35 judged by *analogy* to a fixed high-frequency formula, *WH do you think*
36 *S?*. Verhagen (2006), for example, suggests that "Instances that do not
37 conform to [the formulaic question], can be seen as analogical extensions
38
39

40 3. Dabrowska (this issue) finds no significant effect for changing the second person subject,
41 you to a proper name, and the auxiliary must agree with the subject, so the stored for-
42 mulas may be the more general WH DO NP think S? and WH DO NP say S?

1 from this prototype. . . . invented sentences exhibiting “long distance WH-
2 movement” will be worse, the more they deviate from the prototype”.
3 Dabrowska (this issue) likewise suggests that in order to produce ques-
4 tions such as *What does she hope she’ll get?* i.e., questions that do not
5 fit the stored *WH do you think S?* template, speakers must adapt the
6 template, substituting *she* for *you*, *hope* for *think*, and *does* for *do*.
7 Bybee (2007) interprets usage-based theories to claim that grammatica-
8 lity = familiarity, with general semantic or pragmatic constraints playing
9 little role. She states, “Under the usage-based notion that lack of gram-
10 maticality is lack of familiarity, the oddness of these sentences [island vio-
11 lations] can be said to be in part due to the fact that one rarely hears such
12 combinations of structures” (2007: 695).

13 If this view were extended to all constructions and combinations of
14 constructions, it might be suggested that all of our knowledge of gram-
15 mar is essentially item-based. What appear to be generalizations or novel
16 combinations of constructions, would on this view, simply be one-shot
17 analogies on memorized formulaic expressions.

18 Few researchers have actually defended a *purely* exemplar based model
19 of linguistic knowledge, as usage-based models are not normally inter-
20 preted in this way. In particular, usage-based models espoused by Lan-
21 gacker (1988), Tomasello (2003) and Goldberg (2006) emphasize that
22 speakers form generalizations over instances as they record specific
23 instance-based knowledge (see also Murphy 2002 for a similar view
24 of non-linguistic categorization). Dabrowska (2004: this issue) and Verha-
25 gen (2006) in fact, likewise take a moderate position, allowing that gener-
26 alizations are often formed for constructions that are exemplified by a
27 wide variety of examples in the input. Dabrowska has argued, for exam-
28 ple in the case of other constructions, that “early usage is highly stereo-
29 typical and . . . development proceeds from invariant formulas through in-
30 creasingly general formulaic frames *to abstract templates*” (2004: 200,
31 emphasis added). Verhagen (2006) also notes that higher type frequency
32 of examples will lead to more abstract representations (see also Bybee
33 1985, 1995).

34 Still the question of whether we generalize beyond the exemplars is
35 highly relevant to the present case in which the vast majority of attested
36 examples instantiate only one or two relatively concrete types. We may
37 grant that these types, namely the formulas *WH do you think S?* and
38 *WH do you say S?* are likely to be stored, given their high frequency and
39 the judgment data collected by Dabrowska (this issue). The issue raised
40 by Dabrowska, Verhagen and Poulson’s work is: is this *all* speakers
41 have? Or, instead, is there evidence for a more abstract generalization
42 about the function of long distance dependency constructions that enables

1 Table 2. *Islands involve non-asserted (here presupposed) information*

2	Complex NPs	→	The report was about him.
3	1. She didn't see the report that was about him.		
4	Sentential subjects	→	She knew it
5	2. That she knew it didn't bother him.		
6	3. She didn't leave the movie after they ate it	→	They ate it.
7	4. She didn't realize that he saw the roses.	→	He saw the roses.

10
11 us to combine the clausal complement and question constructions on the
12 fly?

13
14 1.4. *Backgrounded Constructions are Islands (BCI) account*

15 Several researchers have argued that the constraints on filler-gap con-
16 structions are best accounted for in terms of certain discourse properties
17 of the constructions involved. A fundamental insight of this perspective
18 is the observation that the gap generally must fall within the potential
19 focus domain of the sentence (Erteschik-Shir 1979; Erteschik-Shir 1998;
20 Takami 1989; Deane 1991; Van Valin 1998; Van Valin and LaPolla
21 1997).⁴ That is, the constituent in which the gap exists (i.e., the constitu-
22 ent containing the canonical position for the filler) must be within the
23 part of the utterance that is asserted; it cannot be presupposed or other-
24 wise “backgrounded.” Presuppositions of a sentence are revealed by a
25 classic negation test: presuppositions are implied by both the positive
26 and negative form of a sentence. In accord with this observation, notice
27 that all of the constructions in Table 1, with the exception of manner of
28 speaking verb complements, convey presupposed information. This is in-
29 dicated in Table 2: i.e., the negation of the sentences in Table 1, just like
30 their positive counterparts, imply the propositional content expressed by
31

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34 4. Van Valin's (1995) account suggests that the potential focus domain is defined structur-
35 ally: that all direct daughters of direct daughters of the illocutionary force operator are
36 within the potential focus domain. This account, like the subadjacency account above, re-
37 quires an appeal to other factors to explain the fact that the complements of manner of
38 speaking and factive verbs are not fully acceptable since they are within his structurally
39 defined potential focus domain (being direct daughters of direct daughters of the illocu-
40 tionary force operator). A Gricean explanation has been offered for manner of speaking
41 verbs (Van Valin 1997), but complements of factive verbs are predicted to be acceptable.
42 In its favor, the “direct-daughters” proposal is aimed at predicting which constructions
are non-backgrounded so that each construction need not be investigated on a case-by-
case basis.

1 the island. Thus these island constructions do not express the assertion of
2 a sentence: they are not part of the focus domain.⁵

3 Presupposition is a special case of non-assertion: what is presupposed is
4 taken for granted by both the positive and negated version of a sentence.
5 Another type of non-assertion is also revealed by the negation test, but is
6 distinct from presupposition in that neither the embedded proposition nor
7 its negation is implied by either the positive *or* the negated form of the
8 sentence. Complements of manner-of-speaking verbs involve this type of
9 non-assertion:

10 (18) She shouted that he left.

11 ↔ He (didn't) left

12 (19) She didn't shout that he left.

13 ↔ He (didn't) leave.

14
15 That is, normally a manner of speaking verb is only used when the
16 manner of speaking and not the content of the complement clause is the
17 main assertion of the clause:

18 (20) She didn't mumble that he left.

19 Natural interpretation: She didn't *mumble* the content.

20
21 Notice that in a context in which the manner of speaking can be taken
22 for granted, the complement clause can be interpreted as asserted. For ex-
23 ample, in a game of whisper-down-the-alley, main clause negation can be
24 interpreted as negating the lower clause:

25 (21) I didn't whisper that the horse was green.

26 Natural interpretation: That the horse was green is not what I whis-
27 pered. (e.g., I whispered that the house was clean)

28
29 As predicted by the information structure account, in this context, a
30 gap within the complement clause is much improved:

31 (22) What did you whisper that the house was?

32
33 Thus we see that when the complements of manner-of-speaking verbs
34 are not within the focus domain (i.e., not construed to convey the main
35 assertion of a sentence), they are islands to extraction. In special con-
36 texts where they are construed to be within the focus domain, their island

37
38 _____
39 5. In interpreting sentential negation, care must be taken not to place focal stress on any
40 constituent. Contrastive or metalinguistic negation can negate content expressed within
41 islands, but then this type of negation can be used to negate anything at all, including
42 pronunciation or choice of lexical items, (*She didn't realize that he saw the ROSES, she
realized that he saw CARNATIONS!*).

1 status is noticeably mitigated. Thus the notion of “potential focus
2 domain” is clearly relevant to island constraints, as many have noted for
3 a long time (see references above).

4 At the same time, the potential focus domain does not capture the rel-
5 evant facts perfectly. Subject complements are not within the focus do-
6 main, as they (or their existence) are presupposed:

7 (23) The king of France is bald.

8 → There is a king of France.

9 (24) The king of France isn’t bald.

10 → There is a king of France.

11
12 And yet the entire subject argument is available for questioning:

13 (25) Who is bald?
14

15 The subject argument is not within the focus domain,⁶ but it plays a
16 special role in the information structure of a sentence in that it generally
17 serves as the primary topic. In order to allow for the fact that (entire) sub-
18 ject arguments are available to serve as gaps, despite their not being with-
19 in the focus domain of a sentence, Goldberg (2006: 135) formulates the
20 discourse generalization as follows:

21 § *Backgrounded* constituents may not serve as gaps in filler-gap
22 constructions.

23 (Backgrounded constructions are islands: BCI)
24

25 Backgrounded constituents are defined as constituents that are neither
26 the primary topic nor part of the focus domain of a sentence. Elements
27 *within* clausal subjects are backgrounded in that they are not themselves
28 the primary topic, nor are they part of the focus domain. Relative clauses,
29 noun complements, presupposed adjuncts, parentheticals, and active di-
30 transitive recipients are also not part of the focus domain of the clause
31 and are therefore backgrounded (cf. Goldberg 2006). In this way, the ac-
32 count correctly predicts that a wide range of constructions should all be
33 islands to long-distance dependency relations.

34 The restriction on backgrounded constructions is motivated by the
35 function of the constructions involved. Elements involved in unbounded
36 dependencies are positioned in discourse-prominent slots. It is pragmati-
37 cally anomalous to treat an element as at once backgrounded and
38 discourse-prominent.

39

40 6. Subject arguments may be within the focus domain in a limited type of sentence-focus
41 construction (Lambrecht 1994). This construction requires special sentence accent on
42 the subject argument and occurs with a restricted set of mostly intransitive verbs.

1 We have seen that the BCI predicts complements of factive verbs
2 should be islands, since, by definition, the complements of factive verbs
3 are presupposed and are therefore backgrounded. The complements
4 of manner-of-speaking verbs are also predicted to be islands except
5 in special contexts in which the manner is taken for granted. But as
6 noted above the judgments of illformedness in these cases are somewhat
7 subtle. While factive verbs more strongly presuppose the content of their
8 complement clauses, it is not obvious that they are stronger islands than
9 manner-of-speaking verbs, though this is what the BCI hypothesis pre-
10 dicted. Complements of semantically “light” bridge verbs (e.g., *say*, *think*)
11 are predicted not to be islands, as these “neutral” verbs are generally
12 used to introduce a complement clause containing the foregrounded
13 information.

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2. Testing the hypotheses

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In this paper we set out to investigate the following questions: a) Do judgments relating to the negation test correlate with judgments concerning island status as the BCI account predicts? b) Do judgments concerning island status correlate with similarity of the main verbs involved to the verbs *think* and *say* as the direct-analogy proposal would predict?

We decided to restrict our investigation to one particular filler gap construction: long-distance WH-extraction from clausal complements. This allowed us to control for overall sentence length and complexity, as ratings were obtained for different verbs in exactly the same syntactic pattern. Four verbs were chosen from each of three classes of clausal-complement-taking verbs:⁷

- a. factive verbs (realize, remember, notice, know)
- b. manner-of-speaking verbs (whisper, stammer, mumble, mutter)
- c. “bridge verbs” (say, decide, think, believe)

2.1. *Difference scores*

As described in detail in the methods section, we collected acceptability ratings for both WH-questions and the corresponding declarative statements. We used as our measure of acceptability of the WH-question a

7. We originally additionally included four whether-complement taking verbs, but these are treated as fillers in the analysis that follows. See Ambridge and Goldberg (forthc. a) for an analysis of whether as being intermediate between a complementizer and a WH-word.

1 *difference score* (or *dispreference for question-form score*) calculated by
 2 subtracting the rating for each WH-question from the rating for the cor-
 3 responding declarative statement, averaging across all subjects for each
 4 item. For example, the number assigned to measure the dispreference for
 5 “extraction” in *Who did Pat stammer that she liked?* was arrived at by
 6 subtracting subjects’ rating of this sentence from their rating of the corre-
 7 sponding declarative sentence, *Sara stammered that she liked Dominic*.
 8 This allows us to control for any general (dis)preferences that participants
 9 might have for particular VERB+COMP combinations. Such (dis)prefer-
 10 ences might be expected to occur on the basis of simple frequency (e.g.,
 11 sentences containing *say that* might be rated as more acceptable than sen-
 12 tences containing *stammer that*, regardless of whether they are interroga-
 13 tive or declarative) and/or (perhaps relatedly) the extent to which certain
 14 verbs felicitously introduce complement clauses (again in both declara-
 15 tives and interrogatives). Indeed, in the present study, for example, de-
 16 clarative sentences of the form *NP said that S* received a mean rating of
 17 5.9 out of 7, while sentences of the form *NP stammered that S* received a
 18 mean rating of 4.7. The finding that subjects give lower ratings of accept-
 19 ability to sentences containing low frequency strings when other factors
 20 are held constant is well attested in the literature (see references cited ear-
 21 lier). Using these difference scores ensures that our dependent measure re-
 22 flects the extent to which participants consider particular WH-extraction
 23 questions to be ungrammatical, controlling for the frequency of particular
 24 lexical strings. The higher the difference score, the higher the disprefer-
 25 ence for the WH-question form (i.e., the higher the difference score, the
 26 stronger the island to extraction).

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2.2. Negation test

30 A central goal of the study was to investigate whether the extent to which
 31 a complement clause is backgrounded correlates with its resistance to
 32 WH-extraction. As a measure of backgrounding of the complement
 33 clause, the negation test was used. The degree to which a clause C is con-
 34 sidered backgrounded varies inversely with the extent to which main
 35 clause negation implies that C itself is negated. To determine scores on
 36 the negation test, we simply asked native speakers to judge the extent to
 37 which main clause negation implied that the subordinate clause was ne-
 38 gated. For example, subjects judged the extent to which sentences like
 39 that in (26) implied (27) on a seven point scale:

40

41 (26) She didn’t think that he left.

42 (27) He didn’t leave.

1 Clearly (26) does not strictly entail (27), but it does imply it to some ex-
2 tent (as the judgments collected confirm). The negation test has the virtue
3 of being a well-motivated, objective and independent measure. This test is
4 intended to predict what is in the focus domain generally. For present
5 purposes, the BCI predicts a correlation between the negation test and ac-
6 ceptability of the long distance dependencies, at least to the extent to
7 which negation test judgments differ for particular verbs.

9 2.3. *Similarity judgments*

10 In order to determine whether semantic analogy to the verbs *think* or *say*
11 play a role in acceptability judgments, we used both human and auto-
12 matic calculations of semantic similarity. For the human judgment data,
13 we created a second questionnaire to investigate verbs' similarity to *think*
14 and *say*. For the automated calculation, we used Latent Semantic Analy-
15 sis (Deerwester et al. 1990). The similarity judgments are discussed in sec-
16 tion 6.

19 3. Predictions

21 3.1. *Predictions of the BCI hypothesis*

22 To recap, the BCI hypothesis predicts that the greater the extent to which
23 sentential negation implies negation of the complement clause, the lesser
24 the extent to which the complement clause is backgrounded, and hence
25 the weaker the island. That is, the higher the negation-test score, the
26 higher the predicted acceptability of the related WH-question, and the
27 lower the difference score. Thus the BCI hypothesis predicts a significant
28 negative correlation between negation-test and difference scores.

31 3.2. *Predictions of subjacency account*

32 A purely syntactic subjacency account would expect all structurally iden-
33 tical sentences to behave identically, and thus would predict no systematic
34 differences across semantic verb classes. The proposals to treat comple-
35 ments of manner of speaking verbs as adjuncts and complements of
36 factive verbs as part of complex NPs were argued to be problematic.
37 However, if either of these analyses is correct it would predict that the
38 constituent in question is an island to extraction. It is well-known that is-
39 land status is somewhat variable, but no particular gradience of judg-
40 ments is predicted on this account. That is, there is no reason to expect
41 that grammaticality judgments should correlate in any systematic way
42 with judgments on the negation test.

1 3.3. *Predictions of a direct analogy account*

2 Another possibility is that acceptability judgments (difference scores)
 3 are based on semantic similarity to an entrenched fixed formula involving
 4 the verb *think* or *say* (*WH do you think/say S?*). Dabrowska (this issue)
 5 found that judgments on questions involving the second person subject
 6 *you* were not significantly different from those with a proper name, so
 7 we might generalize the template to *WH DO NP think/say S?* where
 8 “DO” is capitalized to indicate that its form is determined by agreement
 9 with the subject argument. Our stimuli all contain past tense *did* and not
 10 *do* or *does*; this difference from the fixed formula is controlled for across
 11 items. Our stimuli all contain the complementizer *that* so this difference
 12 from the fixed formula is also controlled for across items. The key differ-
 13 ence among our items is the main verb involved. The direct-analogy ac-
 14 count would thus seem to predict that there should be a negative correla-
 15 tion between difference scores and scores of similarity of the main verbs
 16 involved to *think* or *say*: the more similar a verb is to *think* or *say*, the
 17 less the difference there should be between the acceptability of a question
 18 and the acceptability of its corresponding declarative.

21 **4. Questionnaire #1: acceptability ratings and negation test**

22
 23 The first questionnaire collected acceptability judgments and judgments
 24 on a negation test. Similarity judgments were collected in a separate ques-
 25 tionnaire (see section 6).

27 4.1. *Method*

28
 29 4.1.1. *Participants.* Participants who filled out the acceptability/
 30 negation-test questionnaire were 71 naïve undergraduate and graduate
 31 students from Princeton University (mean age 19;6), all of whom were
 32 monolingual English speakers. None of the participants were linguistics
 33 majors and few if any had any background in linguistics. Participants re-
 34 ceived \$5 for their participation during a questionnaire day.

35
 36 4.1.2. *Design.* For each of twelve verbs, each participant rated the
 37 grammatical acceptability of a WH-question and a declarative statement
 38 —both containing a complement clause, and performed a negation-test-
 39 judgment task (see Materials section). The verb(class) was manipulated
 40 as a within-subjects factor with 12 levels for a correlation analysis,
 41 and three levels (*factive*, *manner of speaking*, *bridge*; with four verbs in
 42 each class) for a factorial analysis. Counterbalance-version (six different

1 versions of the questionnaire were used) was manipulated as a between-
2 subjects factor.

3
4 4.1.3. *Materials.* Each participant completed a two-part question-
5 naire; the first part consisted of judgments of grammatical acceptability
6 for WH-questions and declarative statements; the second part consisted
7 of judgments about the extent to which main clause negation implied ne-
8 gation of the complement clause.

9
10 *Acceptability judgments of WH-questions* featuring WH-extraction
11 from a clausal complement clause as in (A) were collected:

12 A) What did [NP1] [VERB1] [[that] [NP2] [VERB2]]?
13 (e.g., *What_i did Jess think that Dan liked t_i?*)
14

15 VERB1 was one of the 12 experimental verbs: *realize, remember, no-*
16 *tice, know; whisper, stammer, mumble, mutter; say, decide, think, believe.*
17 NP1 and NP2 were one of 12 female or 12 male proper names respec-
18 tively, while VERB2 was the past tense of one of 12 transitive verbs (*ate,*
19 *bought, built, drew, fixed, found, knew, liked, made, needed, opened, pulled,*
20 *read, threw, took, wanted*).

21 Six different versions of the questionnaire were created. For each ver-
22 sion, sentences were generated at random using the template in (A).⁸

23 *Acceptability judgments of declarative statements* of the form given in
24 (B) were also collected:⁹
25

26 B) [NP1] [VERB1] [that] [[NP2] [VERB2+APPROPRIATE NP]]
27 (e.g., *Danielle thought that Jason liked the cake*)
28
29

30 8. The actual sentence for each of the 12 experimental verbs (though not the structure of
31 the sentence) differed across all six versions. For example, the experimental verb *realize*
32 occurred in the sentence *What did Ella realize that Adam threw?* in Version 1, *What did*
33 *Trinity realize that Andy drew?* in Version 2, and so on. This was to guard against the
34 possibility of our findings being distorted by item effects.

35 9. Again, VERB1 was one of the 12 experimental verbs (this time in past tense form). As
36 for questions of the form in (A), the declarative statements were generated at random
37 using this template, and differed across the six versions of the questionnaire. VERB2
38 was selected from the same list of 12 verbs used for the questions, each paired with an
39 appropriate NP (*ate the chips, bought the groceries, drew the picture, fixed the computer,*
40 *found the keys, knew the secret, made the dinner, needed the map, pulled the car, read the*
41 *book, threw the ball, wanted the chocolate*). NP1 and NP2 were selected from two further
42 lists of 12 female and 12 male names (i.e., each name never appeared more than once
throughout the study). This was to avoid explicitly highlighting to the subjects the formal relationship between each WH-extraction question and its equivalent declarative.

1 For each of the six questionnaire versions, the 24 items in part one of
 2 the questionnaire—12 WH-questions and 12 declarative statements—
 3 were presented in a different pseudo-random order, with the stipulation
 4 that no two verbs from the same verb class (*factive*, *manner of speaking*,
 5 *bridge*) were presented consecutively.

6 *Negation test judgments.* The second part of the questionnaire consisted
 7 of negation test judgments that were designed to indicate the extent to
 8 which sentential negation was interpreted as implying negation of (i.e.,
 9 having scope over) the clausal complement. Each negated complex sen-
 10 tence (e.g., *Maria didn't know that Ian liked the cake*) was paired with a
 11 negated simple sentence corresponding to the complement clause of the
 12 complex declarative (e.g., *Ian didn't like the cake*). For each of the six dif-
 13 ferent questionnaire versions, the complex + simple negated declarative
 14 sentence pairs were presented in a different pseudo-random order, with
 15 the stipulation that no two pairs involving verbs from the same class (*fac-*
 16 *tive*, *manner of speaking*, *bridge*) were to be presented consecutively.
 17 These items (see Sentence C below for an example) were created using
 18 an additional set of 12 female names (NP1s) and male names (NP2),
 19 along with the same lists of VERB1s and VERB2+APPROPRIATE
 20 NPs as in the declarative statements from Part 1:

- 21 C) [NP1] didn't [VERB1] [that] [NP2] [VERB2+APPR. NP] [NP2]
 22 didn't [VERB2+APPR. NP]
 23 e.g., *Maria didn't know that Ian liked the cake Ian didn't like the*
 24 *cake.*
 25

26 Again, the items generated for each verb differed across each of the six
 27 different versions of the questionnaire with regard to the NPs used.

28
 29 4.1.4. *Procedure.* Subjects completed the questionnaire in written
 30 form, and were given only printed instructions.

31 For Part 1 (judgments of grammatical acceptability), these instructions
 32 stated:

33 Please rate each of the sentences below for how acceptable you find
 34 them. 7 = Perfect (completely acceptable), 1 = Terrible (completely
 35 unacceptable).
 36

37 Please indicate your response by drawing a circle around the appropri-
 38 ate number as shown in the examples below. Please judge the sentences
 39 only on how acceptable you find them (and not, for example, whether
 40 the event they describe is plausible or implausible, good or bad etc.).
 41 Acceptability is a sliding scale and not a yes/no judgment—people
 42 tend to differ in their judgments of how acceptable sentences are.

1 For Part 2 (negation test judgments), these instructions stated:
2 Here, you will be given two statements. Your task is to decide the extent to which the first statement implies the second statement. Consider
3 the example sentence pairs in A–C below:
4
5
6 (A) *Bob left early. Bob didn't leave early.*
7 The first statement strongly implies that the second statement is NOT
8 true, so in this case you would circle the 1, as shown above.
9
10 (B) *Bob left the party early. Bob left the party.*
11 This time, the first statement strongly implies that the second statement
12 IS true, so this time, you would circle the 7 as shown above.
13
14 (C) *Bob might leave the party late. Bob left the party early.*
15 This time, the first statement neither implies nor does not imply the second statement, so here you would circle the 4 as shown above.
16
17 We are interested in what average people typically imply with their
18 everyday statements. Bearing these examples in mind, please rate the
19 pairs below for the extent to which the first statement implies that
20 the second statement is true. That is, if you heard a person say [Statement 1], to what extent would you assume that they are implying
21 [Statement 2].
22

23 **5. Results and discussion**

24
25 Difference scores, raw scores (ratings for questions and declaratives), and
26 negation-test scores can be found in Table A1 (Appendix).
27

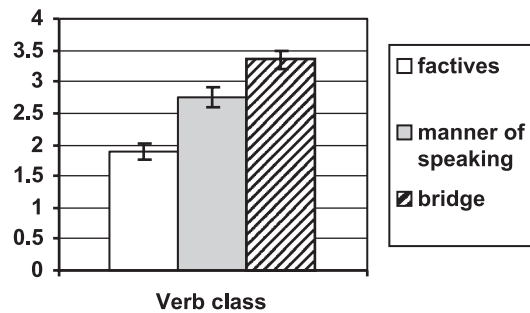
28 5.1. *Preliminary analysis*

29
30 A preliminary analysis of variance with mean difference scores (preference for declarative over WH-extraction question) as the dependent variable and verb-type (*factive, manner of speaking, bridge*) as a within-subjects variable was conducted to investigate the effect of counterbalance version. This variable was not associated with any significant main effects or interactions. Subsequent analyses therefore collapsed across all six different questionnaire versions. The data—raw scores, difference scores and negation test scores—were also checked for normality of distribution (for each verb individually, and collapsed into the three verb-type categories).
31
32 Although data in some conditions displayed skew and kurtosis, all subsequent analyses yielded the same pattern of results with raw and (log) transformed data. We therefore report results for untransformed data only.
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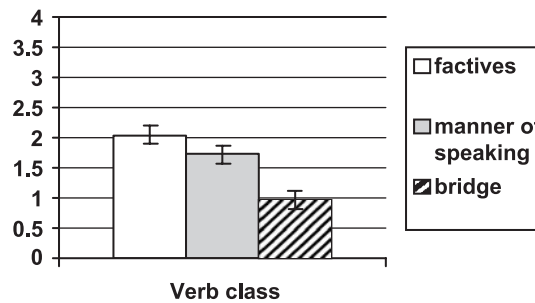
1 5.2. *Analyses of variance*

2 In order to investigate the role of verb classes, we conducted an analysis
 3 of variance for difference scores and negation test scores separately, at the
 4 level of verb classes (*factive*, *manner of speaking*, *bridge*). That is, for
 5 each subject, the difference score for—for example—factive verbs repre-
 6 sents the mean of that subject’s difference scores for *realize*, *remember*,
 7 *notice* and *know* (and the same for negation-test scores).
 8

9 These analyses were conducted to investigate (a) whether subjects gave
 10 significantly higher ratings of grammatical acceptability (looking at dif-
 11 ference scores) for certain classes of complement-taking verbs than others
 12 and (b) whether participants’ negation-test judgments mirrored (i.e., pre-
 13 dicted) these acceptability ratings. These data are shown in Figure 1 and
 14 Figure 2 respectively (and also in Table A1; see Appendix).
 15



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27 Figure 1. *Mean negation test scores. Higher scores indicate less backgrounding of the com-
 28 plement clause*



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40 Figure 2. *Mean difference (dispreference-for-extraction-question) scores. Higher scores indi-
 41 cate greater ungrammaticality of the question form (relative to the corresponding
 42 declarative)*

1 As predicted by the BCI hypothesis, the increase in different scores is
2 paralleled by a decrease in negation-test scores (recall that the BCI hy-
3 pothesis predicts a *negative* correlation between our negation-test and
4 difference-score measures).

5 A one-way within-subjects ANOVA with the independent variable
6 of verb-type (*factive, manner of speaking, bridge*) and the dependent
7 variable of difference score yielded a significant main effect of verb-type
8 ($F_{(2,70)} = 27.01, p < 0.001, \eta_p^2 = 0.28$). Post hoc tests revealed that factive
9 verbs yielded the strongest islands (i.e., highest difference scores;
10 $M = 2.06$ places on the 7-point scale; $SE = 0.16$). Manner-of-speaking
11 verbs ($M = 1.74, SE = 0.15$) yielded the next strongest islands, with (as
12 their name implies) bridge verbs forming the weakest islands ($M = 0.97,$
13 $SE = 0.13$). All comparisons were significant at $p < 0.001$ with the excep-
14 tion of that between factive and manner-of-speaking verbs, which was
15 marginally significant at $p = 0.056$.

16 A one-way within-subjects ANOVA with the independent variable of
17 verb-type (*factive, manner of speaking, bridge*) and the dependent vari-
18 able of negation-test score also yielded a significant main effect of verb-
19 type ($F_{(2,70)} = 49.27, p < 0.001, \eta_p^2 = 0.41$). Factive verbs yielded the
20 lowest negation test score (i.e., highest backgrounding of the complement
21 clause; $M = 1.90, SE = 0.13$), then manner-of-speaking verbs ($M = 2.75,$
22 $SE = 0.15$), then bridge verbs ($M = 3.35, SE = 0.14$), with all compari-
23 sons significant at $p < 0.001$.

24 In summary, the results of these two ANOVAs provide considerable
25 support for the BCI hypothesis. Factive verbs—which, as a class, are
26 rated as strongly backgrounding the complement clause (as measured by
27 the negation test)—form the least acceptable WH-extraction questions.
28 Bridge verbs—which, as a class, are rated as only weakly backgrounding
29 the complement clause—form the most acceptable WH-extraction ques-
30 tions, with manner of speaking verbs in-between the two.

31 In order to quantify the negative correlation between the difference
32 scores and the judgments on the negation test, we additionally performed
33 a correlation analysis on the data. The correlational analysis is affected
34 by within-verb class correlations as well as correlations between verb
35 classes, so it is a more sensitive measure.

36

37 5.3. Correlation analyses

38

39 We entered into the correlation analysis the mean negation-test score
40 and the mean difference score, pooling across all subjects (see Lorch
41 and Myers 1990). What our analysis lacks in power—having only 12
42 datapoints—it makes up for in reliability, as each point includes scores

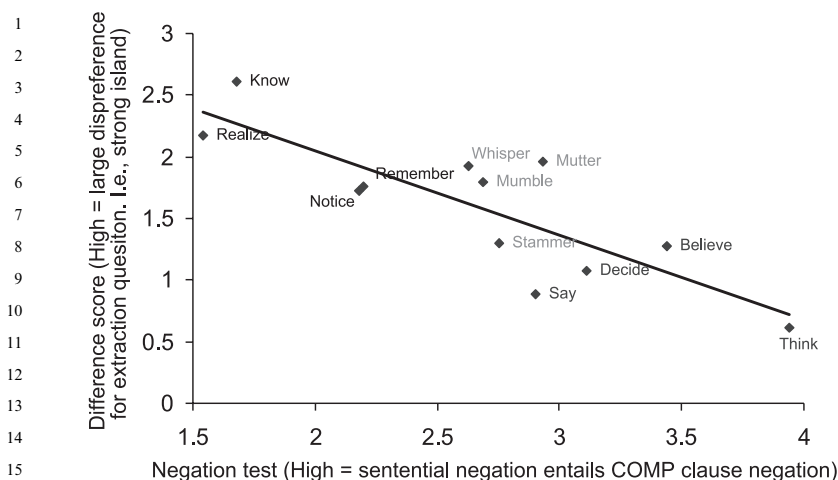


Figure 3. *Correlation between difference scores (dispreference for question scores) and negation test scores*

from 71 participants. A scatterplot of this correlation is shown in Figure 3.

This analysis revealed that the mean negation test score was a highly significant (negative) predictor of mean difference score ($r = -.83$, $p = 0.001$), accounting for over two thirds of the observed variance ($R^2 = 0.69$).¹⁰ The correlation of $|.83|$ is strikingly high, as perfect correlations ($+/-1$) are almost non-existent when distinct measures are used. Separate measures of *the same thing*, e.g., mean length of utterance (MLU) at 28 months, have been found to correlate in the $.75-.80$ range (Bates and Goodman 1997).

5.4. *Any role for subjacency?*

The subjacency account clearly does not predict the pattern of results found in the present study. In particular, subjacency does not predict any distinctions based on the semantic class of the verbs involved without

10. Mean negation test score was also a significant (positive) predictor of mean rating of acceptability for the extraction question ($r = 0.58$, $p < 0.05$), accounting for approximately one third of the observed variance ($R^2 = 0.34$). Thus although, as we have argued, difference scores constitute a more appropriate measure of (un)acceptability than raw scores, our finding of a significant association between backgrounding and the acceptability of WH-extraction questions does not hinge on using difference scores.

1 stipulation. Manner of speaking complements and factive complements
2 would require reanalysis as adjuncts or parts of complex NPs as outlined
3 above in order to predict their relative ill-formedness *vis a vis* semantically
4 light verbs. Such analyses would require independent support, of course,
5 or risk being ad hoc; moreover, even if reanalysis into adjuncts and
6 complex NPs is granted, it does not predict the strong correlation
7 found between difference judgments and judgments on the negation test.
8 Moreover, subadjacency does not predict the fact that questions from
9 complements of the verb *think* (and *say*) are judged to be particularly
10 well-formed.

11

12 5.5. 'Think' and 'say' questions as stored formula

13

14 As Figure 3 illustrates, the present study replicated Dabrowska's (2004:
15 this issue) findings that WH-questions with *think* and *say* are rated as
16 somewhat more acceptable than such questions with other verbs (*think* is
17 significantly more acceptable than all other verbs besides *say* and *decide*
18 at $p < 0.05$ by paired *t*-test; *say* is more acceptable than all other verbs
19 except *think*, *believe*, *decide* and *stammer*). At the same time, the grammat-
20 icality judgments do not provide unambiguous evidence for formulaic
21 status since the semantic properties of *think* and *say* predict that they
22 should be favored. To demonstrate that speakers judge WH-extraction
23 questions with *think* and *say* to be more acceptable than would be pre-
24 dicted given their semantics, it would be necessary to show that scores
25 for these items fall well below the regression line. Generally a difference
26 of 1.96 standard deviations is accepted as indicating outlier status and
27 neither *say* nor *think* meet this criterion. Although *say* is 1.62 standard
28 deviations below the regression line, and thus farther from the regression
29 line than most of the other verbs, it does not meet this criterion for outlier
30 status; neither is it the closest to being classified as an outlier (*mutter* is
31 judged 1.65 SDs worse than would be predicted given its negation test
32 score). Moreover, acceptability of the WH-extraction question is pre-
33 dicted better by the negation test for *think* than for any other verb (at
34 only -0.28 SDs below the regression line).

35 The BCI generalization goes some way toward explaining why the
36 same verbs, "think" and "say," are more likely to appear in long-distance
37 dependency constructions than other verbs cross-linguistically: their se-
38 mantics motivates their discourse properties which in turn motivate their
39 distribution (recall that e.g., Dutch *denken* "think" shows a tendency to
40 be used frequently in filler-gap constructions (Verhagen 2006); and cf. dis-
41 cussion of Polish "say" below). The idea that *think* is used with special
42 discourse properties is buttressed by the idea that clauses with the main

1 verb *think* are often cited as in some sense “monoclausal” (cf. also Lakoff
2 1969; Thompson 2002; Verhagen 2006). An indication that *say* is likewise
3 often used to foreground the information in the complement clause comes
4 from the fact that the verb *say* has been known to grammaticalize into a
5 complementizer (Haspelmath 1989).

6 Thus although we agree that the forms *WH DO NP think S?* and *WH*
7 *DO NP say S?* are *likely* to be stored (due to their high frequency), the
8 present study does not provide evidence that this is *necessarily* the case,
9 as their well-formedness may be due to their semantics. To demonstrate
10 that WH-extraction questions with *think* and *say* are necessarily stored
11 as templates, one might turn to on-line comprehension time measures
12 which may be more likely to reveal formulaic status than acceptability
13 judgments (cf. Wonnacott et al. *forthc.*). The following section investi-
14 gates the stronger claim that these high-frequency formulas are used as
15 the basis of direct semantic analogy when other WH-questions with the
16 same form are at issue.

17

18

19 **6. Questionnaire #2: Semantic similarity**

20 As noted at the outset, the direct-analogy proposal claims that the ques-
21 tions *WH DO NP think S?* and *WH DO NP say S?* constitute semantic
22 prototypes, and that the grammatical acceptability of other such ques-
23 tions may vary as a function of their semantic similarity to these proto-
24 types. In order to test this possibility, we investigated whether semantic
25 similarity of each verb to *think* (or *say*) accounted for any of the observed
26 variance in difference scores.

27

28

29 **6.1. Method**

30 6.1.1. *Participants.* 12 naïve undergraduate and graduate students
31 (11 from Princeton and one from the University of Liverpool) (mean
32 age 22.5) filled out semantic similarity questionnaires. None of them had
33 taken part in the first study and as before, none of the participants were
34 linguistics majors, and few if any had any background in linguistics. Par-
35 ticipants received \$7 each.

36

37

38 6.1.2. *Design.* Participants rated the semantic similarity of *say* and
39 *think* (and—as a control—four other verbs used in the main study) to
40 each of the 11 remaining verbs (see Materials section). The control verbs
41 allow us to determine whether semantic similarity to *think/say* in particu-
42 lar predicts the difference scores from the first study better than semantic

1 similarity to an arbitrary verb that is not claimed to form part of a se-
 2 mantic template (e.g., *remember*). Each subject received one of six differ-
 3 ently ordered versions of the questionnaire in order to guard against pos-
 4 sible order-effects.

6 6.1.3. *Materials*. In order to give semantic similarity the strongest
 7 chance of predicting the acceptability of question forms, we asked
 8 speakers to judge the similarity of the verbs as they appeared in questions.
 9 We used yes/no questions because judgments on WH-questions would
 10 have been confounded by variation in acceptability, which may have in-
 11 fluenced speakers' similarity ratings in unforeseen ways. Participants filled
 12 out a questionnaire containing items such as the following:

14 How (dis)similar are the following verbs to *think*, in the context

15 A. Did you *think* that Mary needed the map?

18 Did you decide that	Meanings are	1 2 3 4 5 6 7	Meanings are
19 Mary needed the map?	very different		very similar
21 Did you say that Mary	Meanings are	1 2 3 4 5 6 7	Meanings are
22 needed the map?	very different		very similar
23 Did you whisper that	Meanings are	1 2 3 4 5 6 7	Meanings are
24 Mary needed the map?	very different		very similar

26 The verbs *think*, *say*, *remember*, *notice*, *stammer* and *mumble* were used
 27 in target questions as *think* is in the question in A above. For each target
 28 verb, similarity ratings were requested for each of the other 11 verbs (*re-*
 29 *alize*, *remember*, *know*, *whisper*, *mutter*, *decide*, and *believe* in addition to
 30 those used in the target sentences).

32 6.1.4. *Procedure*. Subjects completed the questionnaire in written
 33 form, and were given only printed instructions:

35 Your task in this study is to rate verbs for how similar in meaning they
 36 are to another verb (as it is used in a particular sentence). For example,
 37 consider the sentence

38 John *saw* the man.

40 You might decide that—in this context—“spotted” means something
 41 very similar to “saw”, in which case you would circle the 7 as shown
 42 below:

1	John <i>spotted</i>	Meanings are very	1	2	3	4	5	6	7	Meanings are very
2	the man.	different								similar

4 You might also decide that—in this context—“kicked” means something entirely different to “saw”, in which case you would circle the 1, as shown below:

9	John <i>kicked</i>	Meanings are very	1	2	3	4	5	6	7	Meanings are very
10	the man.	different								similar

12 Finally, you might decide that—in this context—the meaning of “watched” is not *very* similar to that of “saw”, but it is not *very* different either, in which case you would circle the 5 as shown below:

16	John <i>watched</i>	Meanings are very	1	2	3	4	5	6	7	Meanings are very
17	the man.	different								similar

6.2. Results and discussion

The second questionnaire aimed to determine whether there was evidence for the idea that *think* or *say* WH-extraction questions were used as the basis for an analogy when judging the well-formedness of such questions with other main verbs. We therefore entered into a correlation analysis, for each verb (except *think* itself), the score representing the semantic similarity of this verb to *think* (predictor variable) and the mean difference score from the first study (outcome variable). A separate correlation analysis was performed for semantic similarity to *say*, and also to each of the four “control” verbs in the same way. The mean semantic-similarity to *think* (and *say*) scores are shown in Table A1 (Appendix).

The semantic-similarity judgment data failed to show a significant correlation with the judgment data for well-formedness of questions (i.e., difference scores). The correlations did not approach significance for similarity to either *think* ($r = 0.08$, $p = 0.79$) or *say* ($r = 0.17$, $p = 0.62$), (or, indeed, for any of the four control verbs: *remember*, *notice*, *stammer* or *mumble*). Indeed, the small and non-significant correlations for *think* and *say* were in the opposite direction to that predicted by the analogy account.

Relatively few subjects (12) were involved because preliminary analysis showed that judgments were highly reliable across participants. Each individual participant’s judgments were significantly correlated with the

1 mean scores collapsing across all participants at $p < 0.01$. Note also that
 2 because we used mean scores pooled across participants, the power of
 3 the statistical test is unaffected by sample size. The validity of our analysis
 4 (as well as its power to detect effects) is demonstrated by systematic find-
 5 ing of significant correlations between similarity scores. For example,
 6 similarity-to-*think* scores were significantly (negatively) correlated with
 7 similarity-to-*say* scores $r = -0.741$, $p < .02$). In fact, judgments of
 8 similarity to all target verbs (*say*, *think*, *remember*, *notice*, *stammer* and
 9 *mumble*) were intercorrelated at $p < 0.05$ or better, with one exception
 10 (similarity-to-*notice* and similarity-to-*stammer*: $r = -.586$, $p = 0.075$).

11 Perhaps participants were basing their similarity judgments on some
 12 sort of conscious strategy that was not relevant to the implicit similarity
 13 judgments that might be used on the analogy proposal. To test for this
 14 possibility, we also calculated similarity scores using an on-line automatic
 15 similarity calculator, Latent Semantic Analysis (Deerwester et al. 1990).¹¹
 16 As before, since a higher LSA score indicates greater semantic similarity
 17 to *think* (or *say*), and a lower difference score indicates a higher rating of
 18 grammatical acceptability, a negative correlation between LSA and mean
 19 difference score was predicted.

20 The analysis found that LSA semantic similarity of the verbs to *say* did
 21 not involve a significant correlation ($r = -0.02$, $p = 0.96$). Similarity to
 22 *think* was also not a significant predictor of mean difference score; in fact
 23 there was again a small non-significant correlation in the opposite direc-
 24 tion to that predicted ($r = 0.11$, $p = 0.75$).

25 Another potential correlation we considered involved determining, for
 26 each verb, the maximum similarity score to *either* the verb *think* or the
 27 verb *say*. That is, if we assume that two distinct formulas are stored,
 28 *WH DO NP say S?* and *WH DO NP think S?* then judgments may be de-
 29 termined by a comparison between a target verb and whichever formula
 30 it is semantically closest to. We therefore calculated the correlation be-
 31 tween the difference scores and the array of scores determined by the fol-
 32 lowing formula:

33 Max (similarity-of-verb_i-to-*say*, similarity-of-verb_i-to-*think*)
 34 for $i \in \{\textit{realize}, \textit{remember}, \textit{notice}, \textit{know}, \textit{whisper}, \textit{stammer}, \textit{mumble},
 35 *mutter}, \textit{decide}, \textit{believe}\}*$.

37 However, neither the judgment scores of similarity nor the LSA
 38 similarity scores correlated significantly with the difference scores by this

41 11. See <http://lsa.colorado.edu/> (texts denoted “General Reading up to 1st Year
 42 College”).

1 measure either ($r = -0.17$, $p = 0.64$; $r = 0.45$, $p = 0.19$, respectively).
 2 The correlation with LSA scores is of a fair size ($r = 0.45$), but it is
 3 in the *opposite* direction to that predicted by the direct analogy account.
 4 Recall that difference scores are smaller to the extent that the question
 5 form is relatively well-formed. If judgments were based on semantic
 6 analogies to the fixed formulas, there should be a negative, not a positive
 7 correlation.

8 Thus, whichever way it is analyzed, by similarity to *say* or to *think* or
 9 to their combination, and according to either human judgment data or to
 10 the automatic LSA similarity calculation, semantic similarity to *say* or
 11 *tell* is a poor predictor of judgment data. The direct-semantic analogy
 12 proposal fails to account for the data.

13

14

15 7. Conclusion

16 In conclusion, the BCI hypothesis (or an information structure account
 17 more generally) has been shown to be an excellent predictor of the island
 18 status of clausal complements. Participants' negation-test judgments were
 19 able to predict over two-thirds of the variance associated with their dis-
 20 preference-for-WH-extraction-question scores. As this correlation is also
 21 not expected nor easily explained on a purely syntactic account, this find-
 22 ing lends strong support to the idea that the discourse function of the con-
 23 structions involved plays a critical role in island phenomena.

24 It is beyond the scope of this paper to provide a thorough comparison
 25 of the BCI and subjacency, but there are many other generalizations that
 26 the BCI accounts for without additional stipulation that subjacency does
 27 not (see Goldberg 2006). The first two predictions were considered in the
 28 present study.

29

- 30 1. Complements of manner-of-speaking verbs and factive verbs are
31 islands.
- 32 2. Grammaticality judgments should correlate with the degree of "back-
33 groundedness", when length and complexity are held constant.
- 34 3. Direct replies are sensitive to islands (Morgan 1975).
- 35 4. Exclamative *ah!* is sensitive to islands (James 1972).
- 36 5. The active recipient argument of ditransitive, as a secondary topic,
37 resists being a gap, while the passive recipient argument of a ditransi-
38 tive, as a primary topic, is free to be a gap.
- 39 6. Presentational relative clauses are not always islands.
- 40 7. Definite relative clauses are stronger islands than indefinite relative
41 clauses.
- 42 8. Parentheticals are islands.

1 There is ample evidence that general processing constraints play a role
2 in island violations (and their amelioration) (cf. e.g., Ellefson and Chris-
3 tiansen 2000; Gibson 1998; Kluender and Kutas 1993). Several factors
4 including length, definiteness, complexity, and interference effects (involv-
5 ing similar referents between filler and gap) have been shown to play a
6 role. As the present experiment controls for these factors, we can see that
7 information structure constraints play an independent role in addition to
8 effects of processing.

9 Judgments on filler-gap constructions involving the complement clause
10 of the main verb *think* (and *say*) were judged to be significantly more
11 acceptable than those involving most other main verbs, as Dabrowska
12 (2004: this issue) has also found. The BCI hypothesis actually predicts
13 that these verbs should be preferred on semantic grounds—the accept-
14 ability judgments correlate well with the negation test scores—so other
15 data are needed to confirm that a fixed formula is stored (but, again, we
16 take the idea that such formula are stored to be quite plausible).

17 On the other hand, the possibility that all filler-gap expressions involv-
18 ing complement clauses are judged by direct analogy to the formulaic
19 expression with *think* or *say* was not supported by the data. Neither the
20 human similarity judgment scores nor the automated LSA similarity mea-
21 sure correlated with the acceptability data. This finding argues against a
22 strong version of item-based grammar in which acceptability judgments
23 are necessarily determined by one-shot analogies to well-learned formu-
24 laic patterns.

25 In general, we must be careful when appealing to frequency in the input
26 data as an explanation for linguistic generalizations. The explanation may
27 be question-begging unless an account is offered as to why there should be
28 cross-linguistic generalizations about the nature of the input, as there are,
29 at least to some extent, in the case of island constraints. We must ask, why
30 is the input the way it is? An account that appeals to information structure
31 provides an answer to this question: speakers avoid combining con-
32 structions that would place conflicting constraints on a constituent, such
33 as requiring it to be at once backgrounded *and* discourse-prominent.

34 At the same time, certain cross-linguistic differences do exist. As noted
35 above (n. 4), Russian allows gaps only in main clauses, whereas Italian
36 appears to allow long distance dependencies somewhat more freely than
37 English. Insofar as backgroundedness is a matter of degree, languages
38 appear to select different cut-off points in how backgrounded a constitu-
39 ent may be while containing a gap (cf. Erteschik-Shir 1973; Fodor 1991
40 for similar suggestions). Languages differ as to the location of the cut
41 off point, but all languages seem to prefer extraction out of non-
42 backgrounded constituents.

1 One further intriguing piece of evidence that suggests that convention-
 2 ality (item-based learning) plays a role in addition to the information
 3 structure generalization comes from the fact that there are some cross-
 4 linguistic differences in which verbs within the class of “bridge verbs”
 5 are most likely to allow extraction from their complement clause. Accord-
 6 ing to Cichocki (1983), the Polish verb *mówić* (‘say’) allows extraction
 7 from its finite complement clause while other verbs, including *myśleć*
 8 (‘think’), do not.¹² At the same time, there are certain intriguing differ-
 9 ences in how Polish *myśleć* and English *think* are used that deserve further
 10 exploration.¹³ In any case, *say*, like *think*, is a light verb which allows
 11 its complement clause to be foregrounded (as evidenced by the present
 12 negation test scores). Thus, while the relative difference between Polish’s
 13 “think” and “say” is not necessarily predicted by the BCI account, the
 14 following more general prediction is made: we do not expect to find any
 15 language in which a factive verb or a manner-of-speaking verb is more
 16 likely to allow extraction from its complement clause than a light verb of
 17 thinking or saying.

18 There is a vast and growing amount of evidence that speakers are
 19 aware of detailed statistical patterns in the input. We in no way wish to
 20 deny this. Certainly, speakers’ inventories of constructions are learned by
 21 generalizing over instances, and the generalizations are often statistical in
 22 nature. The effects of statistics in the input are also clearly relevant to lan-
 23 guage processing (cf. e.g., other papers in this issue).

24

25

26

27 12. We thank Ewa Dabrowska and Blazej Galkowski for confirming the preference for ex-
 28 traction with Polish “say” over “think,” although Dabrowska notes that even extrac-
 29 tion out of “say” complements is not fully grammatical in Polish (p.c. 20 March 2007
 and 2 May 2007, respectively).

30 13. Galkowski (p.c. 2 May 2007) observes that Polish *myśleć* cannot be used as a hedge to
 31 assert the content of the subordinate clause the way English “think” can be, when there
 32 is main clause negation. He suggests that a more elaborate context in which the
 33 thought processes of the subject argument are at issue is required for the following
 34 type of example.

35 Nie myślę że (on) zjadł tego hamburgera.
 36 Not think-1sg that he eat-3sg-past this/the hamburger
 37 ‘I don’t think he ate the hamburger’

38 For example, Galkowski offers the following context: “[My grandpa with Alzheimer’s
 39 can’t be trusted to eat the food I leave for him. So when I see the plate is empty, I don’t
 40 think he ate the hamburger. I’d rather look for it under his bed.] So the emphasis is on
 41 thinking”—BG (p.c. 2 May 2007). Insofar as the focus is on the main verb “think”
 42 and not the complement clause, the information structure account would predict that
 extraction from the complement clause should be dispreferred, as it is.

1 Yet constructions are combined to form actual expressions, and it
 2 seems unlikely that every possible combination of constructions is some-
 3 how stored in advance. The present studies undermine the position that
 4 the felicity of combination is always determined by semantic comparison
 5 with a relatively concrete, fixed formula. They also undermine any purely
 6 structural account such as subjacency. Rather, the current findings sup-
 7 port a view of grammar in which speakers determine which constructions
 8 can be combined, at least in part, on the basis of the information struc-
 9 ture properties of the constructions involved.

10

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13

14 **Appendix**

15

16 Table A1 shows, for each verb (class), mean ratings of grammatical
 17 acceptability (and corresponding standard deviations) for questions and
 18 declarative sentences, difference scores, negation-test scores, and human/
 19 latent semantic analysis similarity-to-*think* scores).

20

21

22 Table A1. *Raw data*

23	Verb	Difference		Question		Declarative		Negation		Judged	LSA
24	(class)	Score						test score		similarity	similarity
25										to	to
26										<i>think-say</i>	<i>think-say</i>
27		Mean	SD	Mean	SD	Mean	SD	Mean	SD		
29	Realize	2.17	1.75	4.13	1.76	6.30	1.14	1.54	1.12	4.58–3.00	0.49–0.41
30	Remember	1.76	2.25	4.27	1.94	6.03	1.36	2.20	1.74	2.67–2.42	0.55–0.50
31	Notice	1.72	1.70	4.45	1.77	6.17	1.40	2.18	1.72	3.08–2.25	0.38–0.30
32	Know	2.61	1.85	3.82	1.84	6.42	1.28	1.68	1.27	4.50–3.42	0.70–0.63
33	Whisper	1.92	1.96	3.99	1.81	5.90	1.57	2.63	1.55	1.58–4.75	0.28–0.33
34	Stammer	1.30	2.19	3.41	1.75	4.70	1.82	2.75	1.69	1.50–4.75	0.15–0.27
35	Mumble	1.79	2.10	3.96	1.78	5.75	1.48	2.69	1.65	1.42–4.67	0.11–0.20
36	Mutter	1.96	2.05	3.90	1.97	5.86	1.53	2.93	1.61	1.83–3.92	0.14–0.25
37	Say	0.89	2.06	5.04	2.00	5.93	1.66	2.90	1.48	2.08–N/A	0.62–N/A
38	Decide	1.07	1.85	4.73	1.82	5.80	1.59	3.11	1.59	4.33–2.42	0.34–0.28
39	Think	0.62	1.64	5.28	1.64	5.90	1.71	3.94	1.80	N/A–3.42	N/A–0.62
40	Believe	1.28	1.95	4.86	1.78	6.14	1.40	3.44	2.01	5.83–2.33	0.52–0.51
41	Factives	2.06	1.33	4.17	1.43	6.23	1.05	1.90	1.07		
42	Manner of speaking	1.74	1.25	3.81	1.30	5.55	1.20	2.75	1.26		
	Bridge	0.96	1.13	4.98	1.42	5.94	1.21	3.35	1.21		

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