

cxs as categories · stat. preemption · freq. judgments · a-adj(#1,2,3)challenge · mechanism · children · 12 · conclusion

Explain me this:

Learning what not to say

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- Learners want to understand messages given forms (comprehension).

and

- They need to choose forms to express the messages they want to convey (production).

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Need to categorize

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Need to categorize

form ~ function pairings: *constructions*

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Constructions at varying levels of complexity and abstraction	
Word	eg., <i>Bosnia</i> , <i>break</i> , <i>notwithstanding</i>
Word (partially filled)	eg., <i>pre-N</i> , <i>V-ing</i>

Constructions at varying levels of complexity and abstraction	
Word	eg., <i>Bosnia</i> , <i>break</i> , <i>notwithstanding</i>
Word (partially filled)	eg., <i>pre-N</i> , <i>V-ing</i>
Idiom (filled)	eg., <i>Got milk?</i> , <i>give the Devil his due</i>
Idiom (partially filled)	eg., <i>Jog <someone's> memory</i> , <i>send <someone> to the cleaners</i>

Constructions at varying levels of complexity and abstraction	
Word	eg., <i>last</i> , <i>break</i> , <i>notwithstanding</i>
Word (partially filled)	eg., <i>pre-N</i> , <i>V-ing</i>
Idiom (filled)	eg., <i>Got milk?</i> , <i>give the Devil his due</i>
Idiom (partially filled)	eg., <i>Jog <someone's> memory</i> , <i>send < someone> to the cleaners</i>
Unusual constructions (partially filled)	The Xer the Yer (eg., <i>The more you think about it, the less you understand</i>) Sarcasm construction (eg., <i>What am I, f*cking Jimmy Cricket?</i>)
(unfilled) Ditransitive construction: Subj V Obj ₁ Obj ₂	eg., <i>He gave her a fish taco</i> ; <i>He baked her a muffin</i> .
Passive: Subj aux VPpp (PPby)	eg., <i>The armadillo was hit by a car</i> .

Our quarry: how do speakers learn when they can generalize a construction and when they cannot?

How do we learn what *not* to say?

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Certain things, one just shouldn't say

I haven't seen you for a long time—are you pregnant?
I only care about my grade in this course.
You look so old!
Who circumcised YOU?!

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Positive evidence: types of expressions that are witnessed.

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Assume you can say these sentences:

Scrape-*nu* the *vip* the *hap*.
Load-*nu* the *yib* the *vork*.
Flip-*nu* the *loof* the *mlm*.

How likely is it that you can also say:
Rumple-*nu* the *pleb* the *jirm*.

Subjects gave likelihood estimate from 0-100%

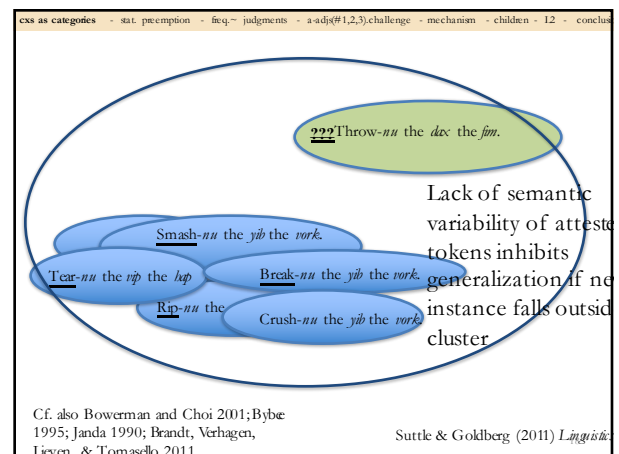
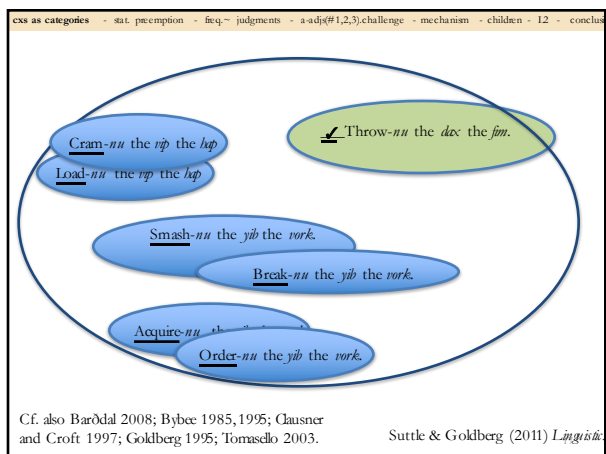
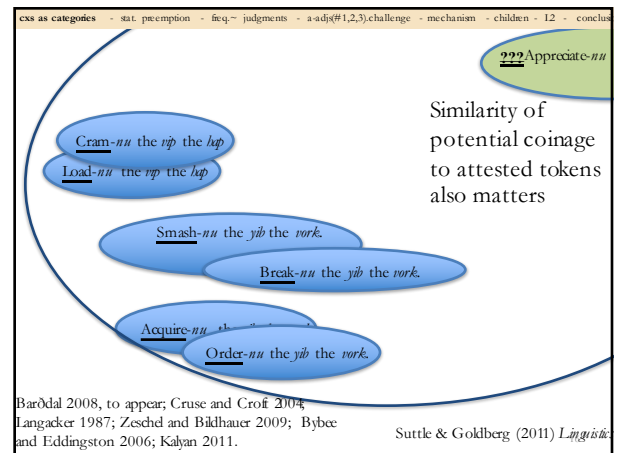
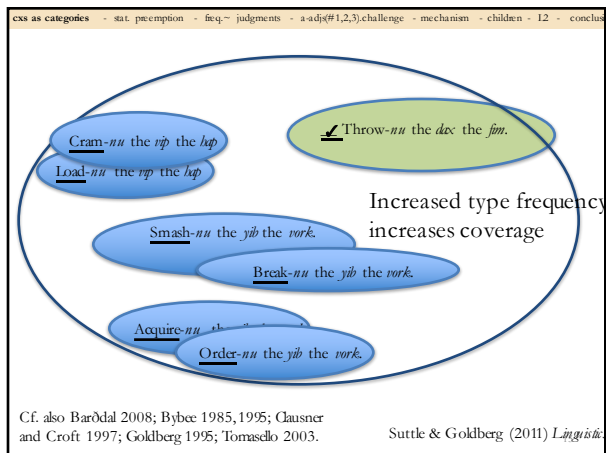
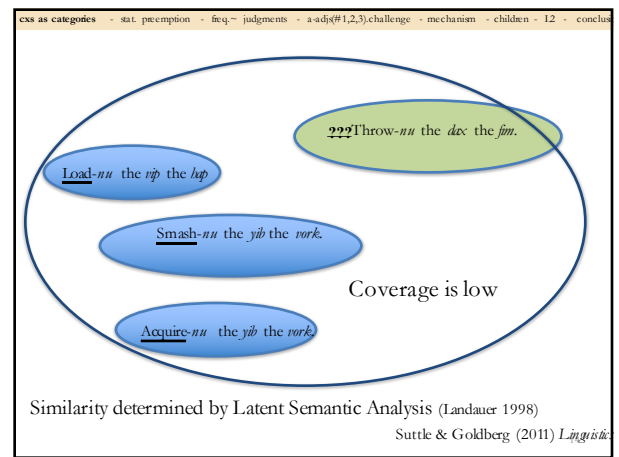
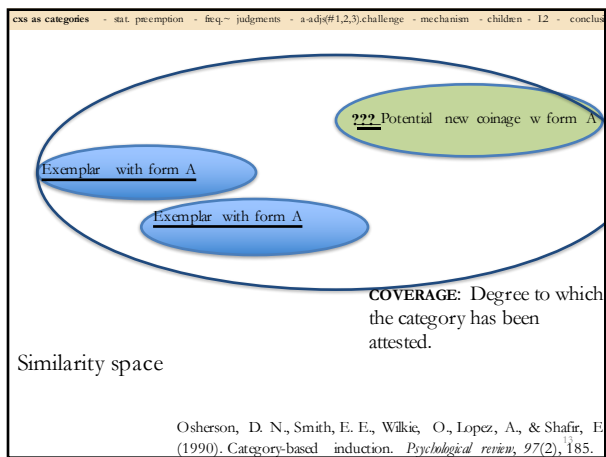
4 Studies (N= 55 distinct MT participants for each)
 Results analyzed using mixed linear models, subjects & items as random effects.

Suttle & Goldberg (2011) *Linguistics*



- Varied type frequency
- Semantic variability
- Semantic similarity of target sentence to closest attested instance

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Semantic (and phonological) categorization goes a long way to explaining which new instances sound acceptable

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Still, there remain **odd gaps** in what we can say
(eg., Braine 1970; Lakoff 1970; Baker 1979; Bowerman 1988; Pinker 1989; Goldberg 1995; Ambridge et al. 2008; 2009; 2011; 2012):

??She explained him the story.
She told him the story.

??He vanished the rabbit.
He hid/banished the rabbit.

??She considered to go.
She wanted/hoped/planned to go.

?? The asleep boy
The astute/sleeping boy

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Reliable direct feedback is not available

Me loves you, Mommy.


I have just completed a colorful mural on my bedroom wall with indelible markers.

(eg., Brown & Hanlon 1970; Hirsh-Pasek et al. 1984)

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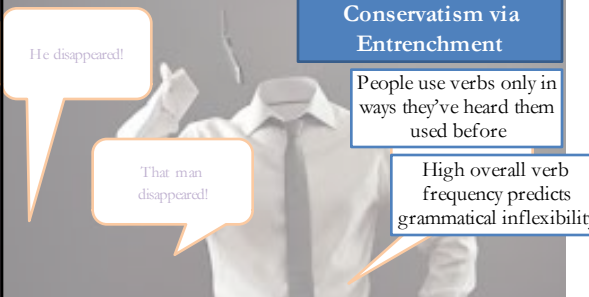
Is this how speakers learn to avoid saying:
?She disappeared something. ?



Braine & Brooks, 1995; Brooks et al. 1999; Theakston, 2004; Ambridge et al. 2008, 2011; 2012
Cf. also Hsu & Griffiths 2009; Stefanowitsch 2008; Perfors et al. 2010; Berwick 2012

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Is this how speakers learn to avoid saying:
?She disappeared something. ?



Conservatism via Entrenchment
People use verbs only in ways they've heard them used before

High overall verb frequency predicts grammatical inflexibility

Braine & Brooks, 1995; Brooks et al. 1999; Theakston, 2004; Ambridge et al. 2008, 2011; 2012
Cf. also Hsu & Griffiths 2009; Stefanowitsch 2008; Perfors et al. 2010; Berwick 2012

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Verb Frequency Effect

?The magician vanished the rabbit.
>
??The magician disappeared the rabbit.

Brooks & Tomasello (1999)
Theakston (2004)
Ambridge et al. (2008)
Robenalt & Goldberg (2015, *Cognitive Linguistics*; to appear *Language Learning*)

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BUT SPEAKERS CAN BE CREATIVE

"I grabbed the guy by the collar of his flowered shirt, popped him a punch" (COCA corpus)

"A 15-year-old googled his way to revolutionizing cancer detection..." (<http://pixelsandpills.com>)

"Mike couldn't believe she had managed to flirt his wallet open once again..." (COCA corpus)

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HOW DO SPEAKERS LEARN WHAT NOT TO SAY?

Conservatism via Entrenchment:
People only repeat what they've heard

Statistical Preemption:
People learn to avoid certain novel formulations by systematically witnessing a competing alternative

Ambridge et al. (2014)
Boyd & Goldberg (2011)
Boyd, Ackerman & Kutas (2013)
Brooks & Tomasello (1999)
J. Claus (2014)
Goldberg (1995; 2006; 2011)

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How do speakers learn what *not* to say?

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Verb Frequency Effect

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Statistical Preemption

People can be creative.
They can extend verbs to novel uses *as long as there isn't a ready available alternative*

Disappear NP
sounds bad because every time it would have been appropriate, made NP disappear occurred in its place.

Make NP vanish has occurred less often.

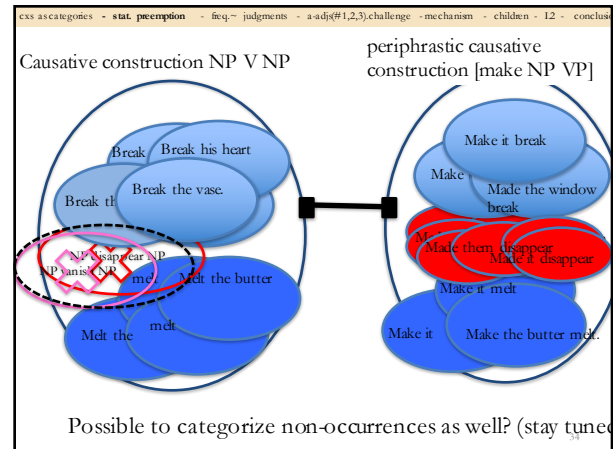
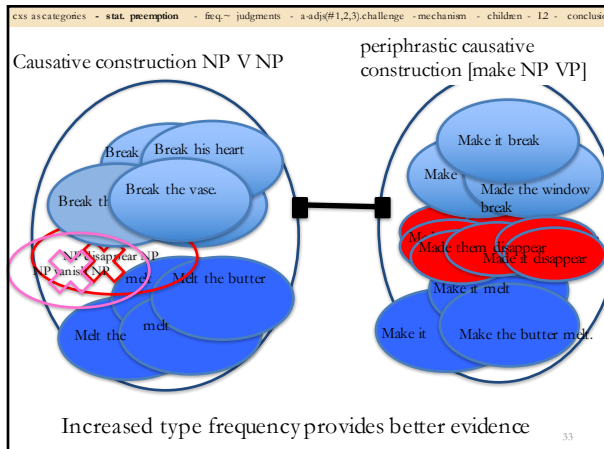
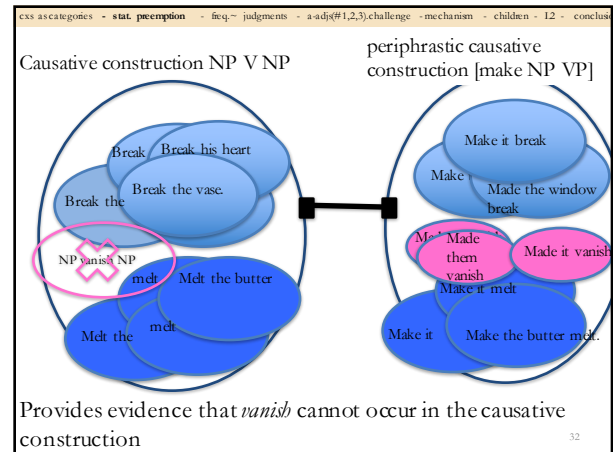
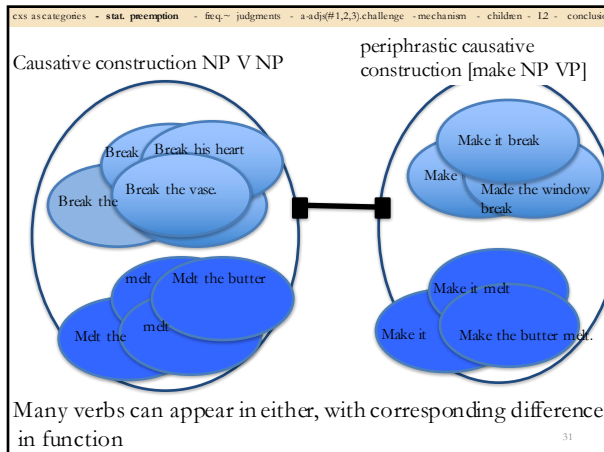
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Causative construction NP V NP

periphrastic causative construction [make NP VP]

Many verbs can appear in either, with corresponding difference in function

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Each construction has its own function (eg., Bolinger 1971; Clark 1987; Langacker 1987; Kemmer & Verhagen 1994; Goldberg 1995).

Is that a *problem* for statistical preemption (Bowerman 1988; Pinker 1989)?

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Is an advantage:


There will be contexts in which CxA should be preferred over CxB for verb_i.

If CxB is consistently witnessed instead... can learn that CxA is not possible for verb_i.

(Goldberg 1995)

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Are higher frequency verbs *always* less flexible?



Conservatism via entrenchment: yes

Statistical preemption: only if another formulation is used in the same general context.

Study: Generate novel sentences with high and low frequency verbs (novelty confirmed via COCA)

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Robenalt & Goldberg 2015, *Cognitive Linguistics*, 2016, *Language Learning*

Binned sentences via norming study

No-Competing Alternative (No-CA)
The editorial embarrassed the poor man out of town.
The editorial mortified the poor man out of town.

Has-competing Alternative (Has-CA)
The chef coated ranch dressing over the salad.
The chef doused ranch dressing over the salad.

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Novel sentences without an agreed upon competing alternative phrasing (Novel-noCA)

The sound rattled/ reverberated the bats out of their hiding place.
The lifeguard swam/ paddled a pool toy to the kids.
The teacher frowned/ glowered a warning to the back of the class.
The crowd cheered/ hollered the reluctant candidate to the podium.
The editorial embarrassed/ mortified the poor man out of town.
The lion roared/ sarled the veterinarian out of the enclosure.
The editor smiled/ grinned the new reporter into his office.
The woman screamed/ shrieked the children out of the ice cream store.
The magician fascinated/ enthralled the toddlers into a trance.
Andrew insulted/ derided the potential member out of the club.

No-Competing Alternative (No-CA)

Novel sentences with an agreed upon competing alternative phrasing (Novel-hasCA)

The scientist infected/ corrupted bacteria into the sample.
The children soiled/ splotted mud onto the carpet.
The designer decorated/ embellished lace onto the invitation.
The dictator flooded/ inundated propaganda into the city.
The chef coated/ doused ranch dressing over the salad.
The housekeeper soaked/ drenched bleach into the towel.
The nurse bound/ bandaged cotton over the wound.
Natalie smacked/ swatted a newspaper onto the mosquito.
The landscaper surrounded/ bordered rocks around the garden.
The camper blocked/ obstructed a heavy backpack into the entrance.

Has-competing Alternative (Has-CA)

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Acceptability Ratings

N=108 from MT

1	2	3	4	5
Completely unacceptable	Bad but not terrible	In between	Good but not perfect	Completely acceptable

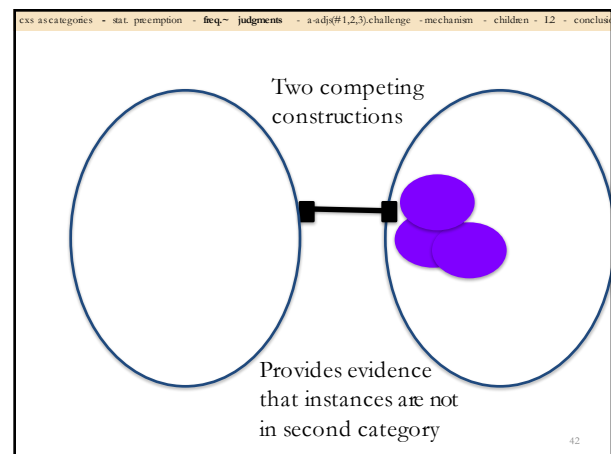
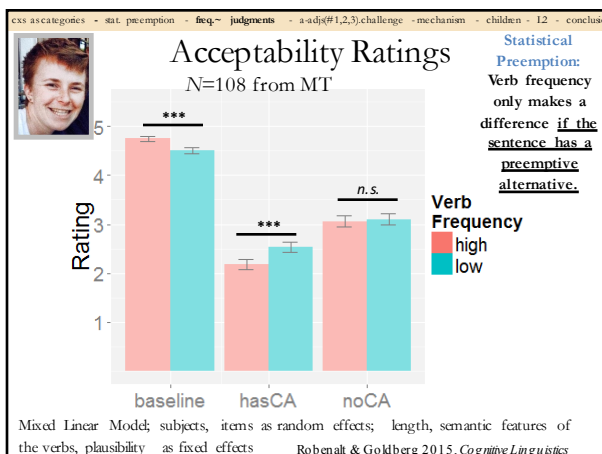
The chef coated ranch dressing over the salad. _____

The magician vanished the rabbit. _____

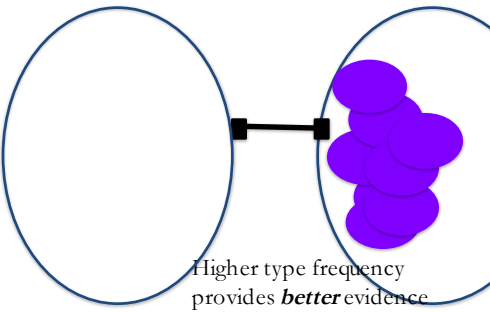
Ashley was terribly mortified. ←baseline _____

.....

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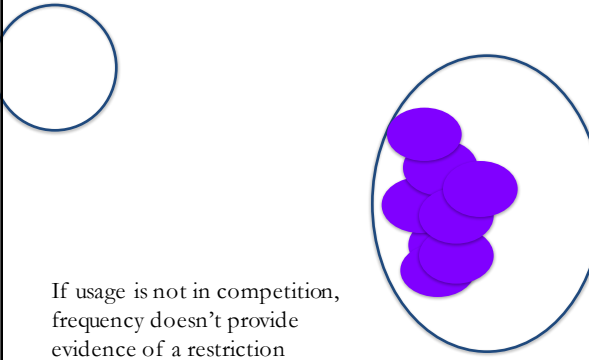
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Higher type frequency provides **better** evidence that instances are not in second category

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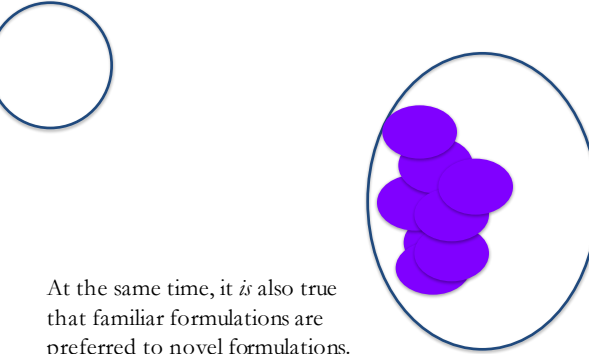
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If usage is not in competition, frequency doesn't provide evidence of a restriction

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At the same time, it is also true that familiar formulations are preferred to novel formulations.

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Interim summary

People prefer to use familiar formulations.

But we are willing to use verbs creatively.

Frequency of verb *in competing constructions* is relevant to unacceptability.

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Quantifying evidence for statistical preemption

Probability of CxB statistically preempting CxA for *verb*:
 $P(CxB | \text{a discourse context in which the learner might expect to hear } CxA[\text{verb}])$

Confidence of statistical preemption for *verb*:
 In frequency (CxB & [CxA would be at least as appropriate])

Goldberg, 2011, *Cognitive Linguistics*

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A *pronominal recipient* and *lexical theme* favors the ditransitive construction over the dative.

She gave me the ball. >
She gave the ball to me.

Thompson 1990; 1995; Arnold et al 2000; Bresnan et al 2007; Collins 1995; Dryer 1986; Erteschik-Shir 1979; Givón 1979; 1984; Goldberg 1995; Goldberg 2006; Green 1974; Hovav Rappaport and Levin 2008; Ochre 1975; Wasow 2002.

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“Alternating” verbs: Probability of a DATIVE given a context appropriate for a DITRANSITIVE. COCA Corpus

Alternating verbs:	A Dative: ⁴ [v] [lexical NP] to [definite pronoun]	Ditransitive: [v] [definite pronoun] [lexical NP]	P (dative dative with relevant restrictions + ditransitive)
Tell	36	3713	< .01
Give	111	7982	.01
Show	35	932	.04
Send	146	1098	.12
Sell	40	152	.21
Bring	111	415	.21
Read	81	275	.23
Lend	7	176	.04
Total	567	14743	.04 (Average)

$P(\text{CxB} | \text{a discourse context in which the learner might expect to hear CxA}[\text{verb}])$
 $P(\text{dative} | \text{discourse context in which one might expect to hear ditransitive})^{4/2} = .04$

“NON-alternating” verbs: Probability of a DATIVE given context appropriate for a DITRANSITIVE. COCA Corpus

Non-alternating verbs:	Dative: [v] [lexical NP] to [definite pronoun]	Ditransitive: [v] [definite pronoun] [lexical NP]	P (dative dative with relevant restrictions + ditransitive)
Explain	120	1	.99
Whisper	16	1	.94
Transfer	20	0	1.0
Return	74	11	.88
Entrust	13	0	1.0
Deliver	33	18	.65
Present	43	37	.53
Repeat	26	0	1.0
Total	345	69	.83 (Average)

$P(\text{CxB} | \text{a discourse context in which the learner might expect to hear CxA}[\text{verb}])$
 $P(\text{dative} | \text{discourse context in which one might expect to hear ditransitive}) = .83$

CONFIDENCE of statistical preemption for verb:
In frequency (CxB & CxA would be at least as appropriate)

Non-alternating verbs:	P (dative context appropriate to ditrans)	Confidence of statistical preemption
Explain	.99	$\ln(120) = 4.78$
Whisper	.94	$\ln(16) = 2.77$
Transfer	1.0	$\ln(20) = 2.99$
Return	.88	$\ln(74) = 4.30$
Entrust	1	$\ln(13) = 2.56$
deliver	.65	$\ln(33) = 3.49$
present	.53	$\ln(43) = 3.76$
repeat	1.0	$\ln(26) = 3.26$

STRENGTH of statistical preemption: a function of PROBABILITY and CONFIDENCE of the preempting construction. ⁵¹

Relevant data is available to learners/analysts in large corpora.

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Another subtle restriction : “a-adjectives”:

??the/an **asleep** child
 ??the/an **afloat** ship
 ??the/an **alive** monster
 ??the/an **aghast** audience
 ??the/an **ablaze** building
 ??the/an **afraid** child

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Semantic near-synonyms

- the/a sleeping child
- the/a scared man
- the/a living monster
- the/a burning building

Phonologically related NON-a-adjs

- the/an adult male
- the/an acute sickness
- the/an astute comment
- the/an aloof woman

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Explanation for the distribution:

Historical “persistence”:
Old English

<i>asleep</i>	< <i>in sleep</i>
<i>abloom</i>	< <i>in bloom</i>
<i>adrift</i>	< <i>on drift</i>
<i>afloat</i>	< <i>on float</i>
<i>ablaze</i>	< <i>on blaze</i>

As PPs, **the on drugs man*

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afraid <> “on afraid”

Instead *afraid* < p. ppl of *affray* (v.): “to startle”

Speakers have assimilated *afraid* to category of a-adjectives.

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Synchronically: Requires usage-based model: speakers are aware of which adjectives they’ve heard in which constructions.

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Constructionist (usage-based) view

- Learners record statistics about particular items’ distribution.
- Constructional generalizations emerge from learners categorizing over the input.

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A-adjective experiment



- Undergraduate native speakers of English ($n = 32$)
- Production task

Will novel a-adjectives get assimilated to the category? (exp. #1)


Do speakers make use of statistical preemption? (exp. #2) Wisely? (exp. #3)


(Boyd and Goldberg, 2011, *Language*)

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An example trial





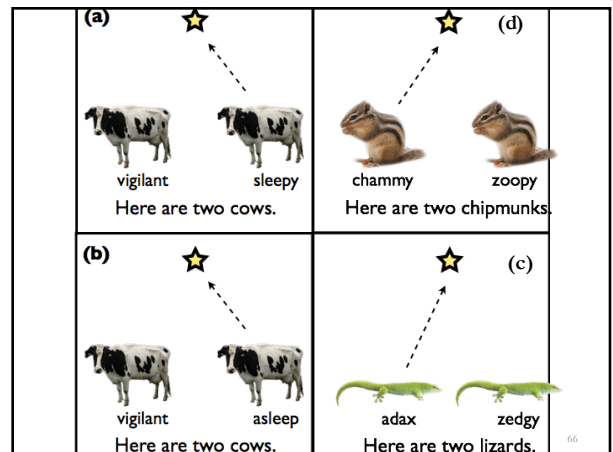
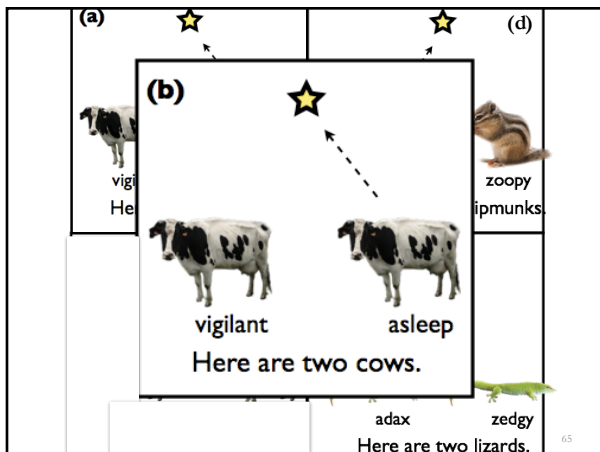
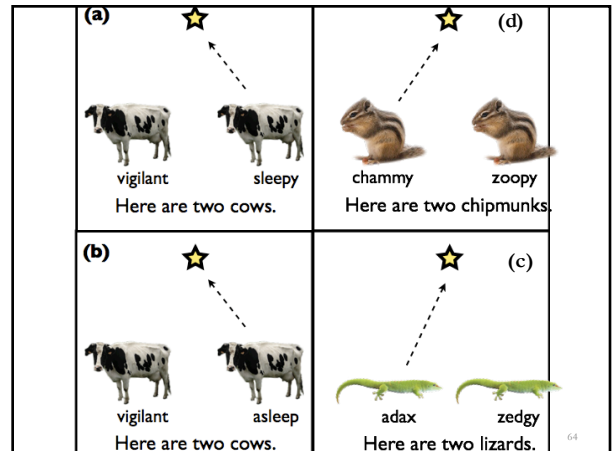
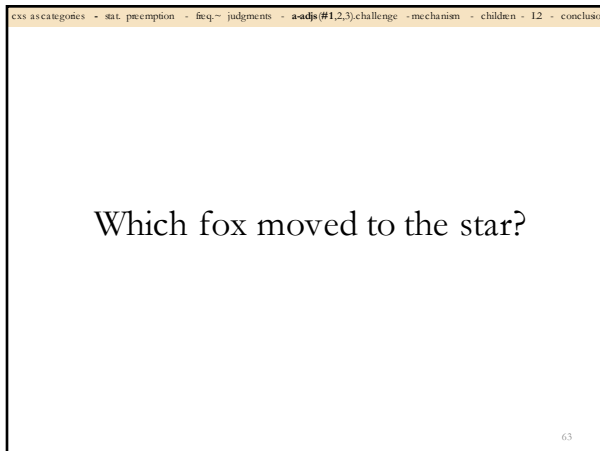
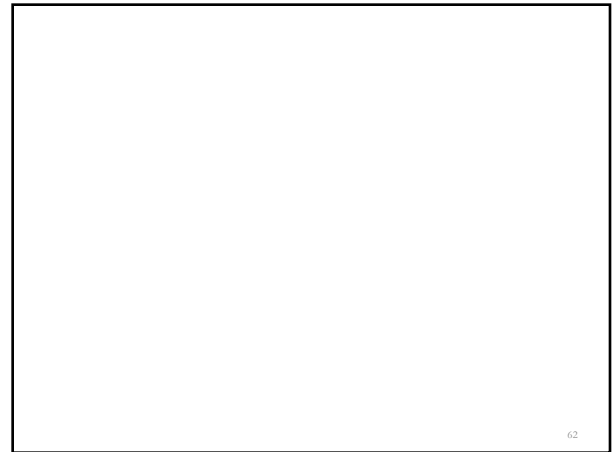
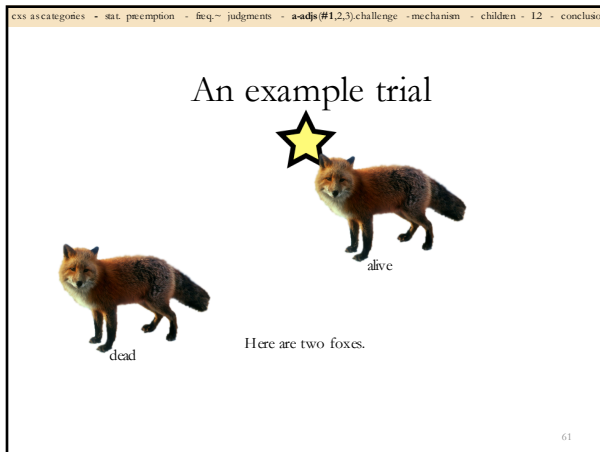
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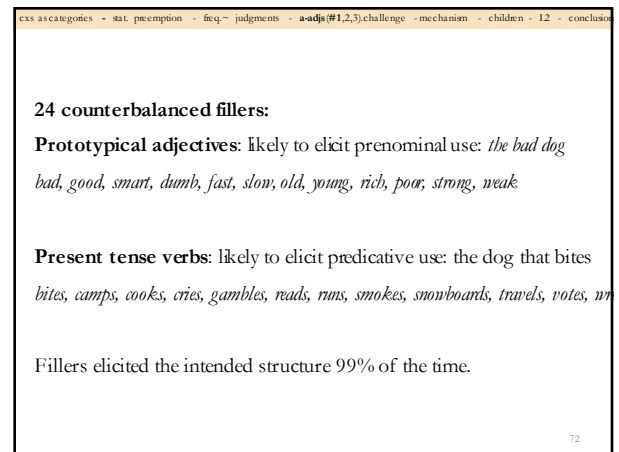
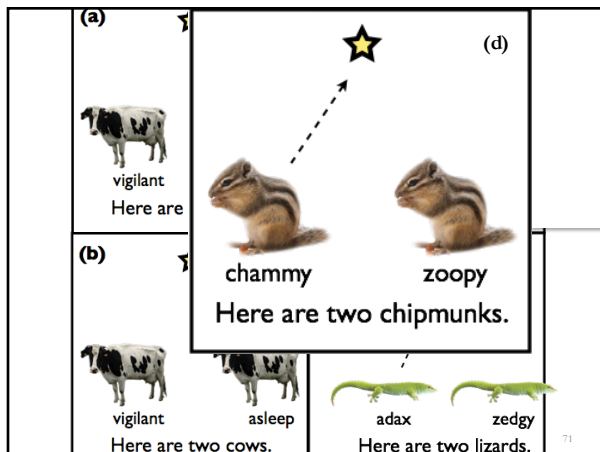
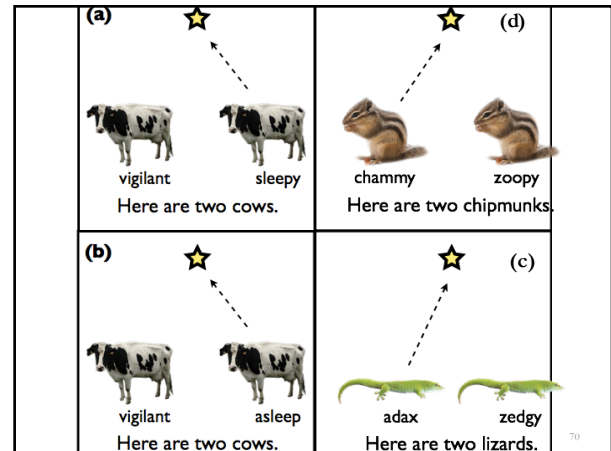
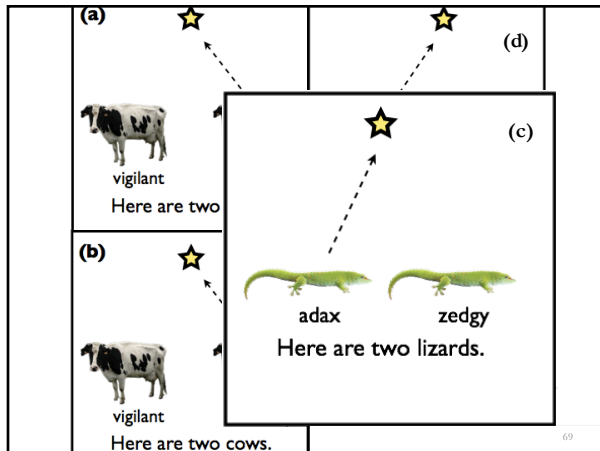
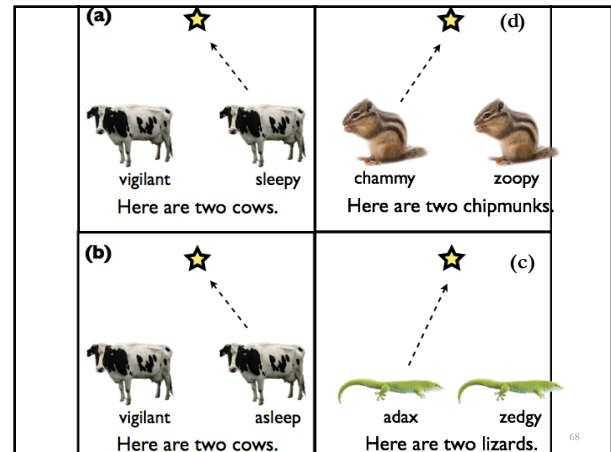
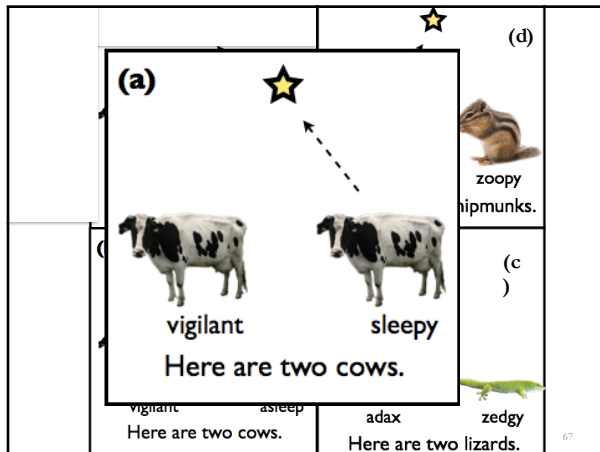
Here are two foxes.



alive

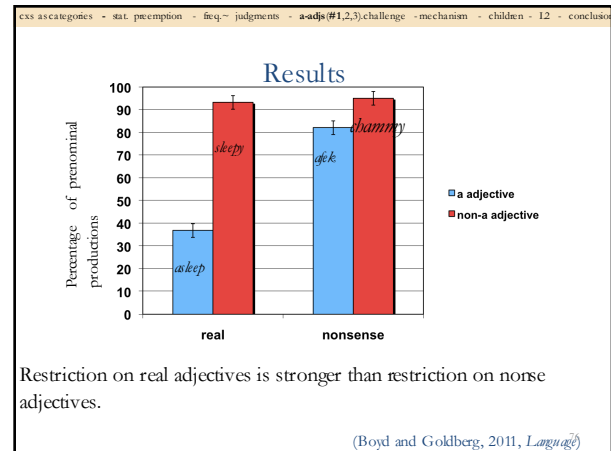
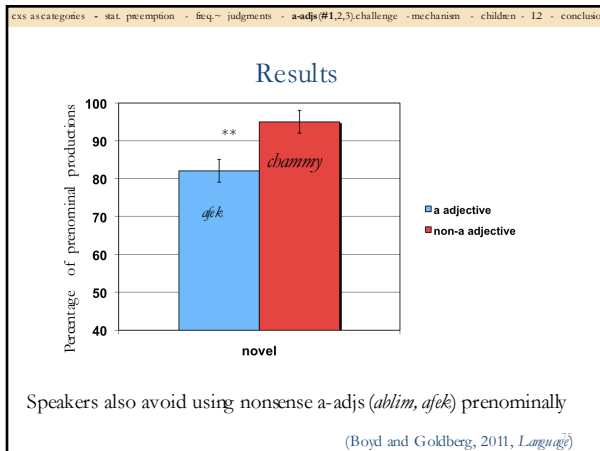
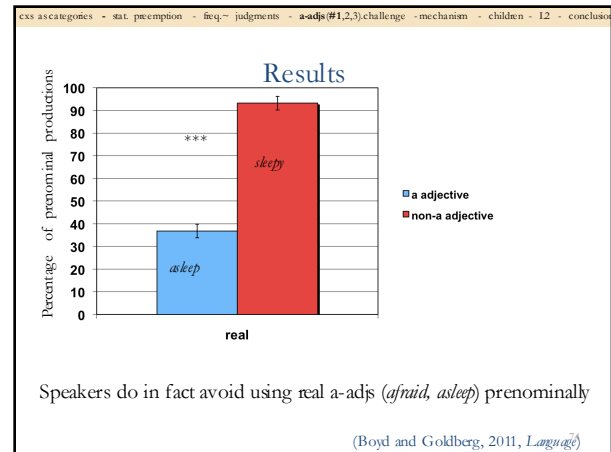
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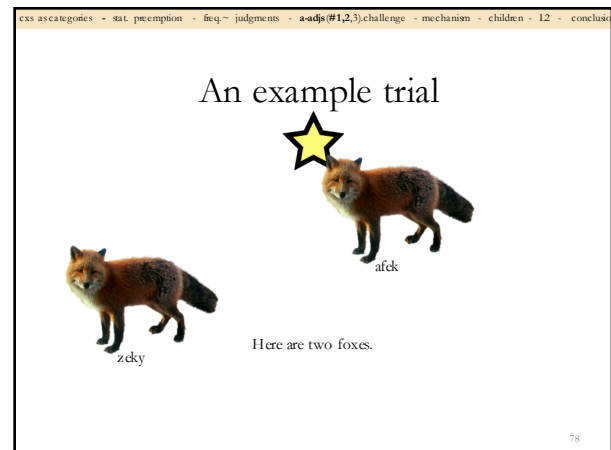
- Exposure Block
 - 6 “**practice trials**”: 3 attributive and 3 predicative examples using non-experimental words.
- Test Block
 - 16 critical trials interleaved with 16 filler trials

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Study #2: introduce preemptive context for novel a-adjective

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"The fox that's afek moved to the star"

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Design

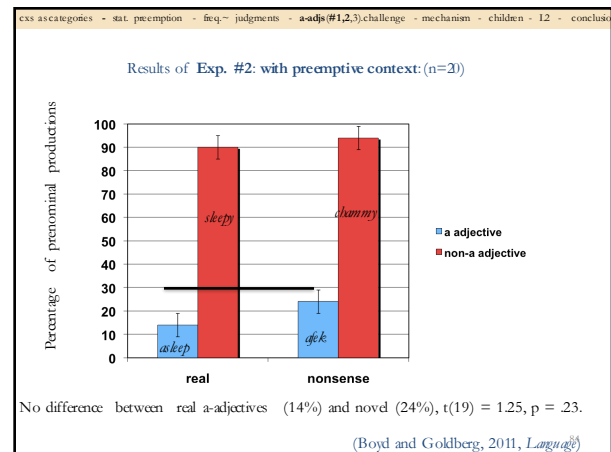
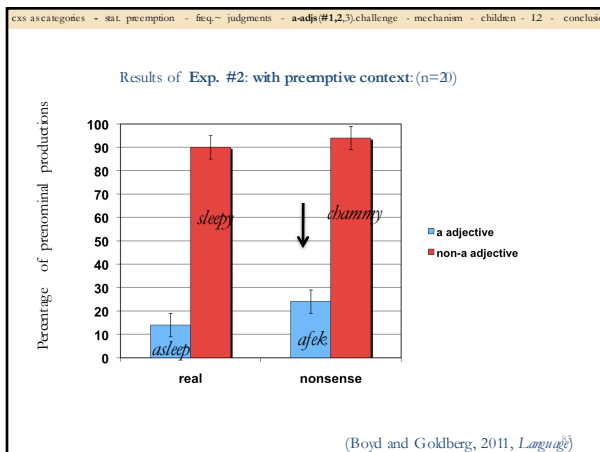
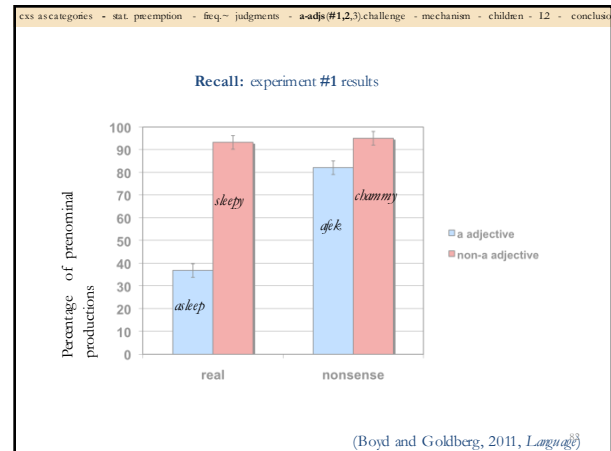
- Exposure Block
 - 6 "practice trials": participants witness 2 novel a-adjectives in Relative Clause: preemptive context.
- Test Block: same as before
 - 16 critical trials interleaved with 16 filler trials.
 - 2 novel a-adjectives were seen during exposure, 2 new novel adjectives.
 - To encourage response variability, fillers were strongly biased towards either an attributive or RC response.

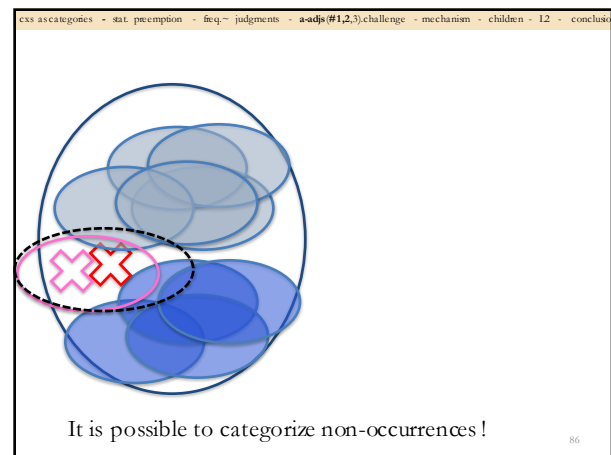
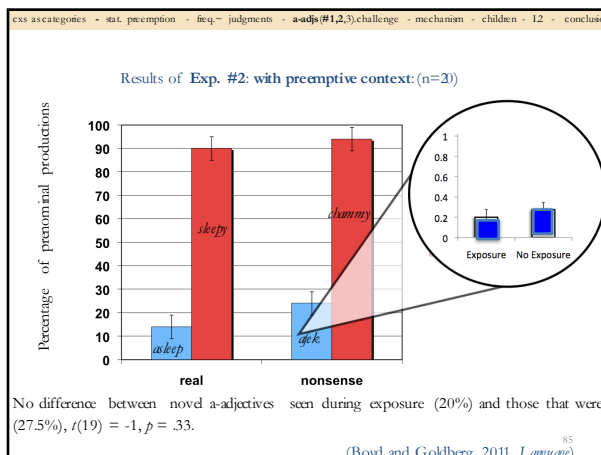
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cx5 ascategories - stat. preemption - freq. judgments - a-adj#(1,2,3)challenge - mechanism - children - 12 - conclusion

Recall: experiment #1 results

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Exp. 3: pseudo-preemptive context (conservatism?)

Are people savvy enough to know when a context is truly preemptive?

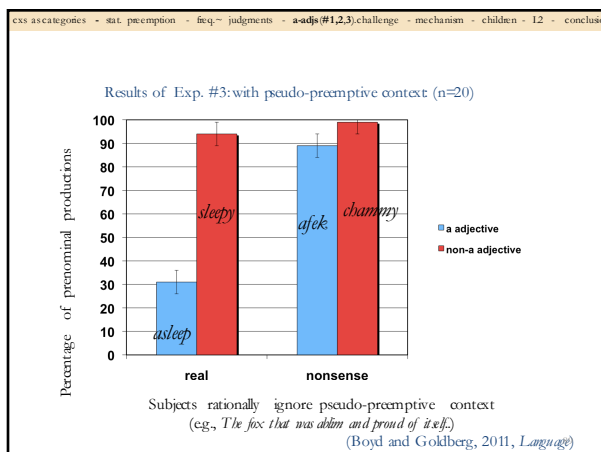
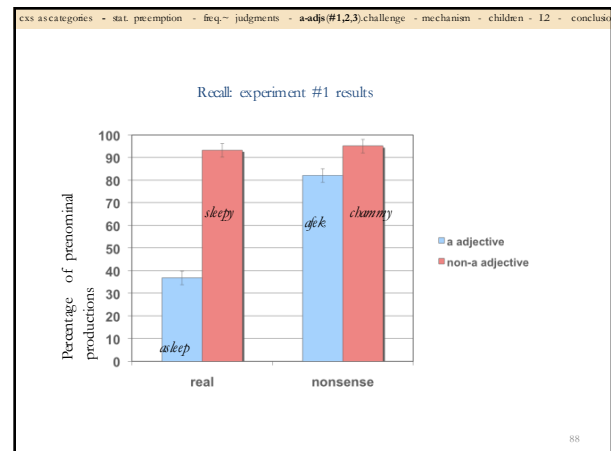
Exposure to pseudo-preemptive context:

The fox that's asleep and proud of itself...

Notice that prenominal attributive construction is unavailable:

*The proud of itself fox...

*The afek and proud of itself fox....



Interim summary

- Learners record the statistics of their language.
- Learners categorize their input into patterns.
- Statistical preemption:** learners learn to avoid certain formulations because an alternative formulation is systematically used in the appropriate context.
- Learners are smart about what counts as a preemptive context.

Recent challenge to statistical preemption account of *??an afraid boy*

Yang 2015 (*Language*), Bruening 2011:

- Children do not witness enough evidence for statistical preemption before they are 3.
- Learners don't need indirect negative evidence because a-adjectives pattern like particles, *on, up, out*.
- The suggested evidence: a-adjectives are claimed to occur with adverbs *right, straight, well, far*, which also apply to particles.

1. TYPICAL ADJECTIVES	2. A-ADJECTIVES	3. PREPOSITIONAL PHRASES:	4. LOCATIVE PARTICLES
<i>red</i>	<i>asleep</i>	<i>on the table</i>	<i>up</i>
<i>sleepy</i>	<i>afloat</i>	<i>into the room</i>	<i>down</i>
<i>floating</i>	<i>afraid</i>	<i>to the house</i>	<i>on</i>
<i>full</i>	<i>alone</i>	<i>at two o'clock</i>	<i>in</i>
<i>huddled</i>	<i>ablaze</i>	<i>in the mind</i>	<i>inside</i>
<i>pinkish</i>	<i>abloom</i>	<i>inside the box</i>	<i>around</i>
<i>absurd</i>	<i>alike</i>	<i>out of the city</i>	<i>away</i>
<i>acute</i>	<i>alive</i>	<i>around the ring</i>	<i>across</i>
<i>aloof</i>	<i>awake</i>	<i>away from them</i>	<i>out</i>

BUT, many a-adjectives *never* occur with *any* of the key adverbs in all of COCA corpus:

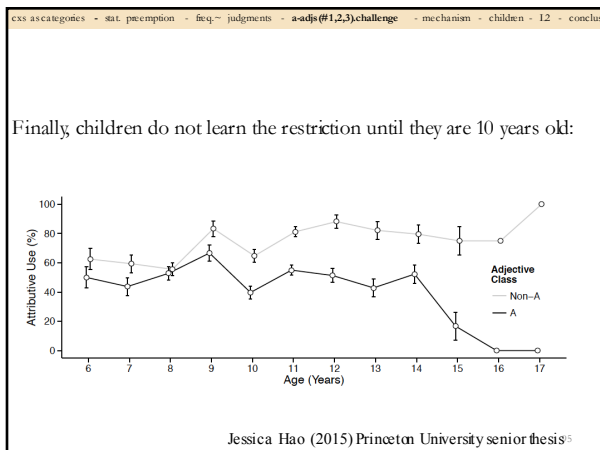
**far asleep, *straight asleep, *well asleep*
**far awake, *straight awake, *well awake*
 (and the ones that do occur only do so extremely rarely (*?well alive; ?far alone*))

REGULAR adjectives occur *much* more commonly:

e.g.,
far greater (1300)
well pleased (70) (Yang classifies *across, away, around, ahead* as a-adjectives)
straight winning (14)

In any case, particles *can* occur in attributive position:

the near future
 the past year
 the outside world
 the inside track



Summary

Yang 2015 (*Language*), Bruening 2011:

- Children do not witness enough evidence for statistical preemption before they are 3.
And they don't actually show the restriction until they are roughly 10 years old.
- Learners don't need indirect negative evidence because a-adjectives pattern like particles, *off, on, up, out*.
This is a suggested off button. also near future, past year, inside track
- The suggested evidence: a-adjectives are claimed to occur with adverbs *right, straight, well, far*, which also apply to particles.
Actually these adverbs almost never occur with a-adjectives, while they do occur with regular adjectives.

cxs ascategories - stat.premption - freq.- judgments - a-adj(#1,2,3)challenge - mechanism - children - 12 - conclus

Evidence that a-adjectives are adjectives

- Semantics
- They appear after bare *seem* (Lakoff 1970; Jackendoff 1972)
 - *Pat seemed afraid/ alone/ alive.*
- They are conjoinable with other adjectives
 - *Chris was afraid but happy.*
 - *She was alive and healthy.*

(see corpus analysis in Goldberg & Boyd, to appear, *Language*)

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cxs ascategories - stat.premption - freq.- judgments - a-adj(#1,2,3)challenge - mechanism - children - 12 - conclus

→ Statistical preemption is consistent with available evidence, while the suggested positive evidence is not (pace Yang 2015; Bruening 2011)

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cxs ascategories - stat.premption - freq.- judgments - a-adj(#1,2,3)challenge - mechanism - children - 12 - conclus

Error-driven learning

We anticipate (predict) what others will say as they speak.

(Kutas & Hillyard 1984; Tanenhaus et al 1995; McRae, Spivey-Knowlton, Tanenhaus 1998; Pickering and Garrod 2007, 2012, Johnson, Turk-Browne & Goldberg to appear, *LCN*)

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cxs ascategories - stat.premption - freq.- judgments - a-adj(#1,2,3)challenge - mechanism - children - 12 - conclus

Competition-dependent learning

Partial activation of competing form leads to learned dissociation (Anderson & Spellman 1995; Anderson, Green & McCulloch 2000; Detre 2010; Newman and Norman 2010).

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cxs ascategories - stat.premption - freq.- judgments - a-adj(#1,2,3)challenge - mechanism - children - 12 - conclus

Anderson & Spellman 1996:
Subjects learned paired associations, e.g.,

- Fruit-Apple
- Fruit-Pear
- Fruit-Kiwi
- Furniture-Table
- Furniture-Phone

.....

Then cued with a subset of these pairs such as:
Fruit-Pe_____.

Note “Pear” is only partially cued, therefore subjects partially activate other *prototypical* associates, e.g., Apple.

- memory for Fruit-**Apple** was weakened
- memory for Fruit-**Kiwi** was not weakened.
- memory for other uncued pairs, e.g., Furniture-Table was not weakened either.

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cxs ascategories - stat.premption - freq.- judgments - a-adj(#1,2,3)challenge - mechanism - children - 12 - conclus

If speakers anticipate (1), it is *partially activated*. If the competing form (2) is witnessed instead, subsequently, (1) is harder to retrieve (forgotten/suppressed).

1. ?? She explained him something
2. She explained something to him.

(2) preempts (1).

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Relationship to preemption

A construction that is in competition is weakened whenever another form “wins” (is used).

If a competing construction is *not* partially activated, there is no suppression.

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When do children generalize?

As soon as the tokens are recognized to form a pattern.
Different ages for different types of patterns.

eg., Elena Lieven et al. (1997)
Mike Tomasello (2000; 2003)
Berko, J. (1958).


Much to do here: which dimensions of similarity are relevant to which constructions and how do children determine this? (Perck & Goldberg, to appear, *JML*)

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Why do children overgeneralize?

They do not have the alternative readily available (in general, or at the moment of speaking).

Huttenlocher 1979; Benedict 1979; Gruendel 1977, Gershkoff-Stowe 2001

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How do children eventually recover from overgeneralizations?

The more conventional alternative becomes more readily available (through more exposure & greater fluency).

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Why might **L2** learners find it harder to learn arbitrary restrictions?

- ?? “could you recommend me some [place] to apply?”
- ?? “maybe it's better to explain me first”
- ?? “have you ever considered to go climbing in Ecuador? We would love for you to come and discover it!”
- ?? “the afraid boy hiding behind the board”

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“The **RAGE** hypothesis”
(Grüter & Rohde; Lew-Williams & Fernald 2010)

- Non-native speakers have **reduced** **a**bility to **g**enerate **e**xpectations during language processing,
- particularly based on grammatically encoded distinctions.

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cx5 ascategories - stat. preemption - freq. judgments - a-adj(#1,2,3)challenge - mechanism - children - L2 - conclusion

Encuentra la pelota



(la pelota) (el zapato)

109 Lew-Williams & Fernald (2007; 2010)

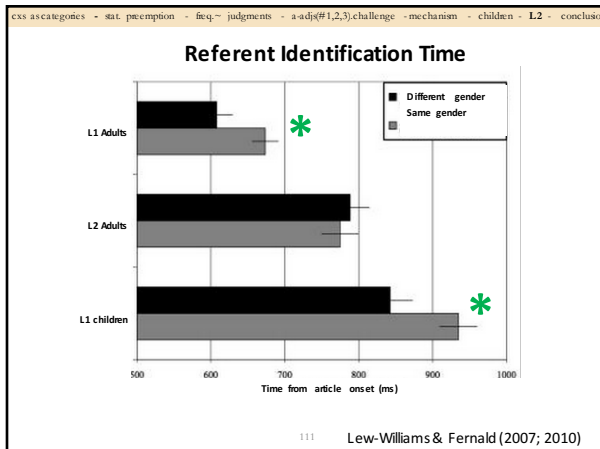
cx5 ascategories - stat. preemption - freq. judgments - a-adj(#1,2,3)challenge - mechanism - children - L2 - conclusion

Encuentra la pelota



(la galleta) (la pelota)

110 Lew-Williams & Fernald (2007; 2010)



cx5 ascategories - stat. preemption - freq. judgments - a-adj(#1,2,3)challenge - mechanism - children - L2 - conclusion

- L2 processing is a cost on WM
- Individual differences, degree of proficiency, and task can play a role in the extent to which processing is affected.

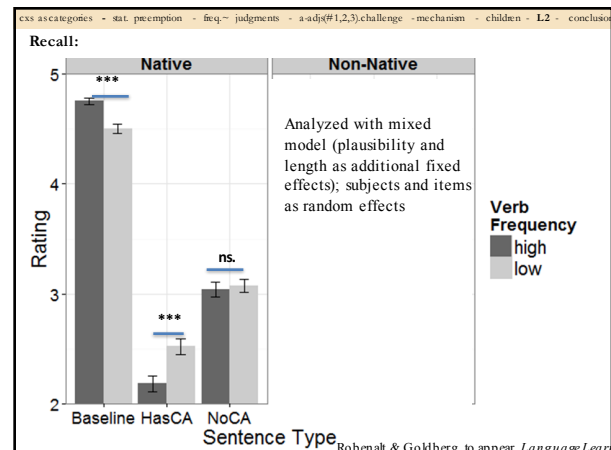
Grüter et al. (2014); Havik et al.(2009); Lew-Williams & Fernald (2007; 2010); Linck et al. 2009; Martin (2013)

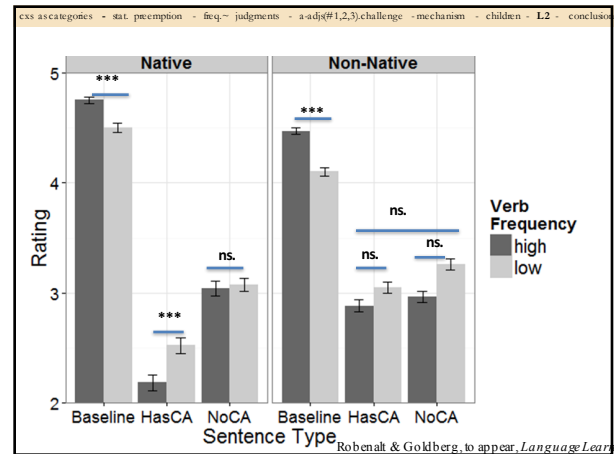
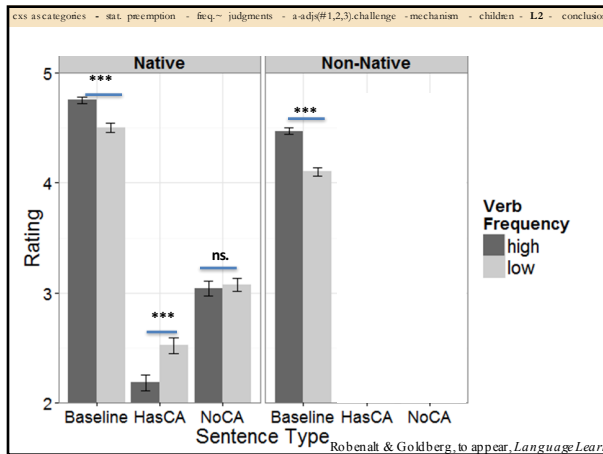
112

cx5 ascategories - stat. preemption - freq. judgments - a-adj(#1,2,3)challenge - mechanism - children - L2 - conclusion

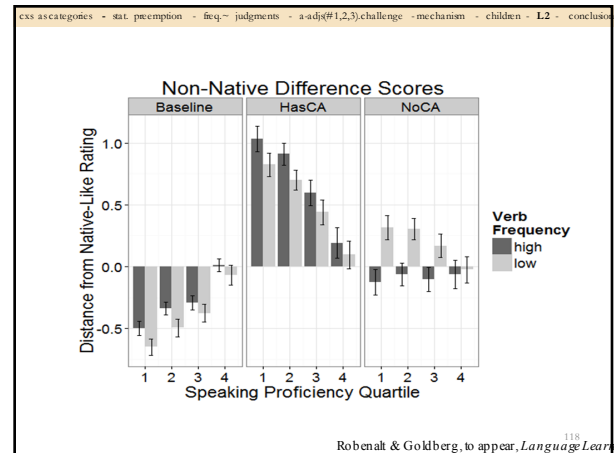
If non-native speakers don't anticipate upcoming utterances to the same extent as native speakers, they will have less opportunity for competition-dependent learning.

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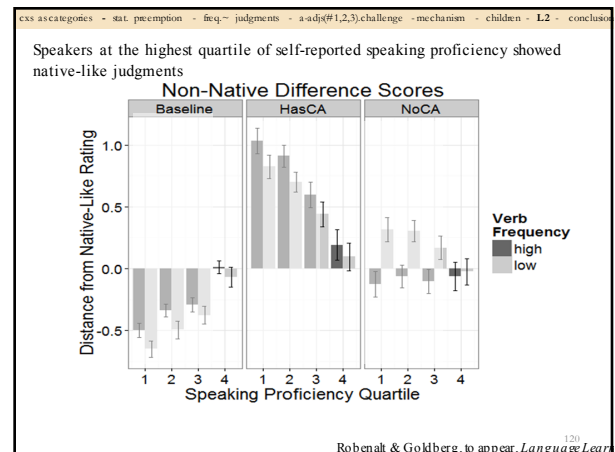
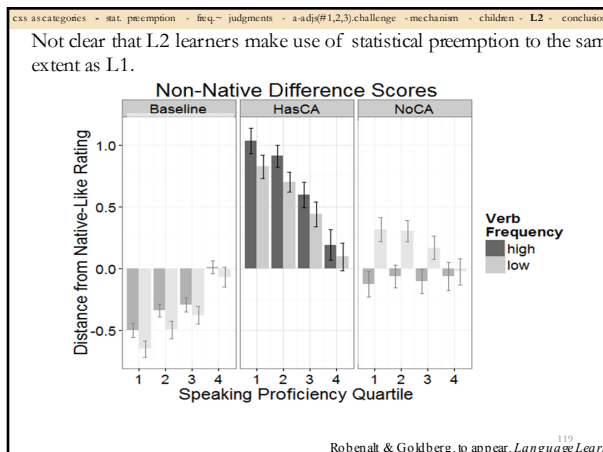




L2 learners tended to treat novel sentences as novel, without taking into account whether a readily available competing alternative existed or not.



Not clear that L2 learners make use of statistical preemption to the same extent as L1.



Conclusion: short version

It's categorization.

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Conclusion: more specifically

- Learners record statistics of their language.
- We actively categorize the input, recognizing patterns of form and function.
- Productivity is generally determined by general principles of induction (coverage & similarity)
- Learners learn to avoid certain formulations because an alternative formulation is systematically used instead: **statistical preemption**. (i.e. **competition driven learning**)
- Adults are smart about what counts as a preemptive context.

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- Statistical preemption is a slow, gradual process; some arbitrary restrictions are learned very late.
- L2 learners may take less able to take full advantage of statistical preemption.

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Thanks to:

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